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6 Attorneys for Plaintiff CareFusion 303, Inc.

7  
 8 **IN THE UNITED STATES DISTRICT COURT**  
 9 **FOR THE CENTRAL DISTRICT OF CALIFORNIA**

10  
 11 CareFusion 303, Inc.,

12 Plaintiff,

13 v.

14 B. Braun Medical, Inc.,

15 Defendant.

Case No. **SAGV11-01264CJC(RNBx)**

**COMPLAINT FOR PATENT  
 INFRINGEMENT OF U.S. PATENT  
 NOS. 5,782,816 AND 5,730,418**

**DEMAND FOR JURY TRIAL**

16  
 17  
 18 Plaintiff CareFusion 303, Inc. (hereafter "CareFusion 303" or "Plaintiff"),  
 19 by its undersigned counsel, hereby brings this action against B. Braun Medical,  
 20 Inc. (hereafter "B. Braun" or "Defendant") and alleges as follows:

21 **PARTIES**

22 1. CareFusion 303 is a Delaware corporation. It is a wholly owned  
 23 subsidiary of CareFusion Corporation. CareFusion Corporation is a public  
 24 Delaware corporation with its headquarters at 3750 Torrey View Court, San Diego,  
 25 CA 92130. CareFusion 303 also has its principal place of business at 3750 Torrey  
 26 View Court, San Diego, CA 92130. In 2009, CareFusion Corporation was created  
 27 from Cardinal Health, Inc.'s clinical and medical products business.  
 28

1           2.     CareFusion delivers clinically proven products and services that help  
2 measurably improve patient care in the medical field. Its family of products and  
3 services are used in more than 120 countries.

4           3.     With fiscal 2010 pro forma revenue of \$3.9 billion, CareFusion  
5 Corporation is the largest medical-technology company focused on helping the  
6 global healthcare industry solve its most challenging patient safety issues.

7           4.     Defendant is a Pennsylvania corporation with its principal place of  
8 business in Bethlehem, Pennsylvania.

9           5.     At all material times hereto, Defendant has manufactured, distributed,  
10 marketed, offered for sale and sold products, including but not limited to the  
11 infringing ULTRASITE<sup>®</sup> Capless Valve System and CARESITE<sup>®</sup> Luer Access  
12 Device, in this judicial district and elsewhere in the United States.

13                               **JURISDICTION AND VENUE**

14           6.     This is an action for patent infringement arising under the patent laws  
15 of the United States, Title 35 of the United States Code. The Court has federal  
16 question jurisdiction under 28 U.S.C. § 1331 and exclusive original jurisdiction  
17 under 28 U.S.C. § 1338(a).

18           7.     This Court has personal jurisdiction over Defendant in this action  
19 because Defendant, directly and/or indirectly through its subsidiaries and affiliated  
20 companies, has engaged in substantial business within this forum amounting to  
21 sufficient minimum contacts, including, but not limited to, distributing, marketing,  
22 using, selling, and/or offering to sell products and services in California and this  
23 juridical district, and employment of personnel within this judicial district.  
24 Defendant has operated a manufacturing facility in Irvine, California, within this  
25 judicial district, for over 30 years, presently employing over 1000 people.  
26 Defendant also has availed itself of the laws of California and previously submitted  
27 itself to the jurisdiction of this Court by filing suit in this Court, and has admitted  
28 to having sufficient contacts with this jurisdiction for purposes of litigation. *See,*

1 e.g., Complaint, Dkt. No. 1, *B. Braun Medical, Inc. v. Westchester Med. Ctr.*, Case  
2 No. 8:99-cv-01010 (complaint filed August 6, 1999).

3 8. Venue is proper in this district pursuant to 28 U.S.C. §§ 1391 and  
4 1400.

5 **COUNT I – INFRINGEMENT OF U.S. PATENT NO. 5,782,816**

6 9. Plaintiff repeats and realleges the allegations of paragraphs 1 through  
7 8 as if set forth herein.

8 10. U.S. Patent No. 5,782,816 (“the ’816 Patent”), entitled “Bi-  
9 Directional Valve and Method of Using Same,” was duly and legally issued on  
10 July 21, 1998, by the United States Patent and Trademark Office. CareFusion 303  
11 has been assigned the entire right, title and interest in the ’816 Patent. A true and  
12 correct copy of the ’816 Patent is attached hereto as Exhibit A.

13 11. Defendant has infringed and continues to infringe the ’816 Patent by  
14 making, using, selling and/or offering to sell within the United States (including  
15 within this judicial district) devices that embody the inventions disclosed and  
16 claimed in the ’816 Patent, and/or importing into the United States devices that  
17 embody the inventions disclosed and claimed in the ’816 Patent, without  
18 authorization from CareFusion 303. At least Defendant’s ULTRASITE® Capless  
19 Valve System and CARESITE® Luer Access Device directly infringe the claims of  
20 the ’816 Patent, in violation of 35 U.S.C. § 271. For example and not limitation,  
21 Defendant’s ULTRASITE® and CARESITE® devices practice each of the  
22 limitations of at least independent claim 1 of the ’816 Patent.

23 12. In addition to direct infringement, CareFusion 303 is informed and  
24 believes, and on that basis alleges, that Defendant has indirectly infringed and  
25 continues to indirectly infringe the ’816 Patent by contributing to infringement by  
26 others of the ’816 Patent, and by knowingly inducing others to infringe the ’816  
27 Patent. For example and not limitation, Defendant sells and offers to sell within  
28 the United States or imports into the United States devices and/or components of

1 patented devices knowing the same to be especially made or especially adapted for  
2 use in an infringement of the '816 Patent. Such devices and/or components are not  
3 staple articles or commodities of commerce, nor are they suitable for substantial  
4 noninfringing use. Defendant also actively induces infringement of the '816 Patent  
5 by its customers and/or others, including, for example and not limitation, by  
6 providing written instructional documents and instructional videos directing  
7 customers to use Defendant's ULTRASITE<sup>®</sup> and CARESITE<sup>®</sup> devices in a manner  
8 that directly infringes the '816 Patent.

9 13. Further discovery may reveal that Defendant's infringement of the  
10 '816 Patent has been and continues to be willful.

11 14. CareFusion 303 has been and will continue to be damaged by  
12 Defendant's infringement of the '816 Patent and will be irreparably harmed unless  
13 that infringement is enjoined.

14 **COUNT II – INFRINGEMENT OF U.S. PATENT NO. 5,730,418**

15 15. Plaintiff repeats and realleges the allegations of paragraphs 1 through  
16 14 as if set forth herein.

17 16. U.S. Patent No. 5,730,418 ("the '418 Patent"), entitled "Minimum  
18 Fluid Displacement Medical Connector," was duly and legally issued on March 24,  
19 1998, by the United States Patent and Trademark Office. *An ex parte* re-  
20 examination certificate was duly and legally issued by the United States Patent and  
21 Trademark Office on April 11, 2006. CareFusion 303 has been assigned the entire  
22 right, title and interest in the '418 Patent. A true and correct copy of the '418  
23 Patent is attached hereto as Exhibit B.

24 17. Defendant has infringed and continues to infringe the '418 Patent by  
25 making, using, selling and/or offering to sell within the United States (including  
26 within this judicial district) devices that embody the inventions disclosed and  
27 claimed in the '418 Patent, and/or importing into the United States devices that  
28 embody the inventions disclosed and claimed in the '418 Patent, without

1 authorization from CareFusion 303. At least Defendant's ULTRASITE<sup>®</sup> Capless  
 2 Valve System and CARESITE<sup>®</sup> Luer Access Device directly infringe the claims of  
 3 the '418 Patent, in violation of 35 U.S.C. § 271. For example and not limitation,  
 4 Defendant's ULTRASITE<sup>®</sup> and CARESITE<sup>®</sup> devices practice each of the  
 5 limitations of at least independent claim 27 of the '418 Patent.

6 18. Defendant's infringement of the '418 Patent has been and continues to  
 7 be willful and carried out with full knowledge of the '418 Patent. Defendant had  
 8 knowledge of the '418 Patent not later than early 2006, and was notified that its  
 9 ULTRASITE<sup>®</sup> device infringes the '418 Patent not later than December 20, 2007.

10 19. CareFusion 303 has been and will continue to be damaged by  
 11 Defendant's infringement of the '418 Patent and will be irreparably harmed unless  
 12 that infringement is enjoined.

### 13 **PRAYER FOR RELIEF**

14 WHEREFORE, Plaintiff respectfully requests the following relief:

- 15 1. A judgment that Defendant has infringed the '816 and '418 Patents;
- 16 2. A permanent injunction issued pursuant to 35 U.S.C. § 283,  
 17 restraining and enjoining Defendant and its officers, agents, attorneys and  
 18 employees, and those acting in privity or concert with them, from infringement of  
 19 the '816 and '418 Patents for the full terms thereof;
- 20 3. An award of damages to Plaintiff including lost profits and interest  
 21 thereon, but in any event no less than a reasonable royalty, in an amount adequate  
 22 to compensate for Defendant's infringement of the '816 and '418 Patents, and,  
 23 upon a finding of willfulness, that the damages be trebled pursuant to 35 U.S.C. §  
 24 284;
- 25 4. A declaration that this is an exceptional case and an award of  
 26 attorney's fees, disbursements, and costs of this action pursuant to 35 U.S.C. §  
 27 285; and,
- 28 5. Such other and further relief as the Court may deem just and proper.

**DEMAND FOR JURY TRIAL**

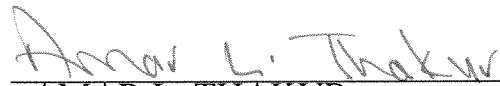
CareFusion 303 demands a trial by jury for all issues so triable pursuant to Federal Rule of Civil Procedure 38(b).

Respectfully submitted,

Dated: August 23, 2011

**FOLEY & LARDNER LLP**  
AMAR L. THAKUR  
SHAWN E. MCDONALD  
MEGAN E. O'SULLIVAN

By:



AMAR L. THAKUR

Attorneys for Plaintiff CareFusion 303, Inc.

# EXHIBIT A



US005782816A

# United States Patent [19]

Werschmidt et al.

[11] Patent Number: 5,782,816

[45] Date of Patent: Jul. 21, 1998

[54] **BI-DIRECTIONAL VALVE AND METHOD OF USING SAME**

[75] Inventors: **Gary S. Werschmidt**, Yorba Linda;  
**Raymond P. Feith**, Rialto, both of  
Calif.; **David R. Kipp**, 2371 Edna Way,  
Upland, Calif. 91784

[73] Assignee: **David R. Kipp**, Upland, Calif.

[21] Appl. No.: 525,837

[22] Filed: Sep. 7, 1995

[51] Int. Cl.<sup>6</sup> ..... A61M 5/00

[52] U.S. Cl. .... 604/256; 604/83; 604/283;  
137/903; 251/149.6; 251/149.7

[58] Field of Search ..... 604/181-3, 202,  
604/236, 246, 249, 250, 256-7, 283, 905,  
83; 137/903; 251/149.6, 149.7

## [56] References Cited

### U.S. PATENT DOCUMENTS

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4,798,226	1/1989	Struth	137/903
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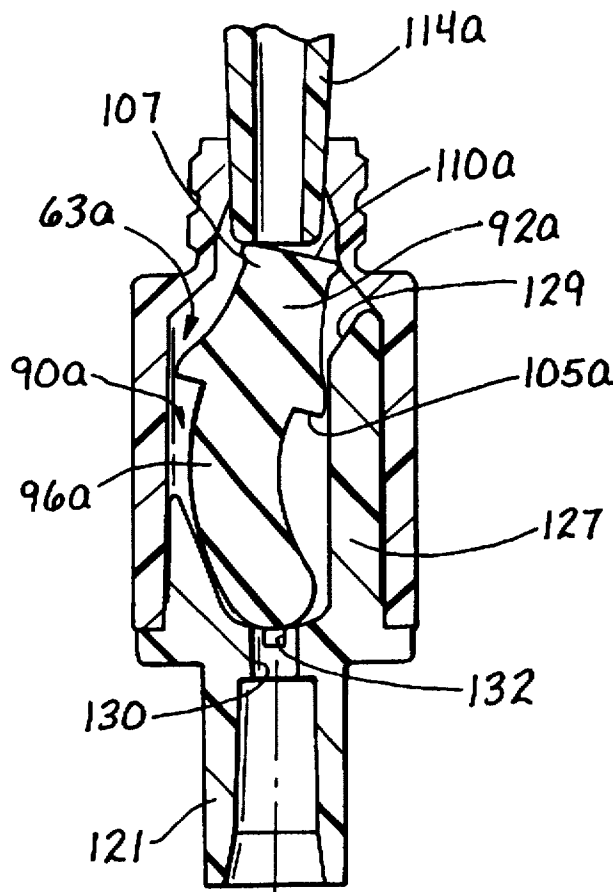
5,061,253	10/1991	Yoshida	604/246
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5,509,433	4/1996	Paradis	604/249
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5,569,235	10/1996	Ross et al.	604/249

Primary Examiner—Mark Bockelman  
Attorney, Agent, or Firm—Richard L. Myers

## [57] ABSTRACT

A connector adapted to facilitate medicinal access into an intravenous tube includes a housing having a base and a cap defining a channel through the housing. A valve element disposed in the channel includes a plug and elastomeric shaft which biases the plug into sealing engagement with the housing. Operation of the connector by inserting a male Luer fitting in the housing axially compresses the valve element to a canted position thereby permitting medicinal access through the channel and into the intravenous tube. Canting of the valve element can be facilitated by providing a finger along the channel or by providing a predetermined buckling section along the valve element. The shaft of the valve element can be rounded and disposed in an arcuate cavity of the housing to further facilitate canting of the valve element.

24 Claims, 5 Drawing Sheets





U.S. Patent

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FIG. 1

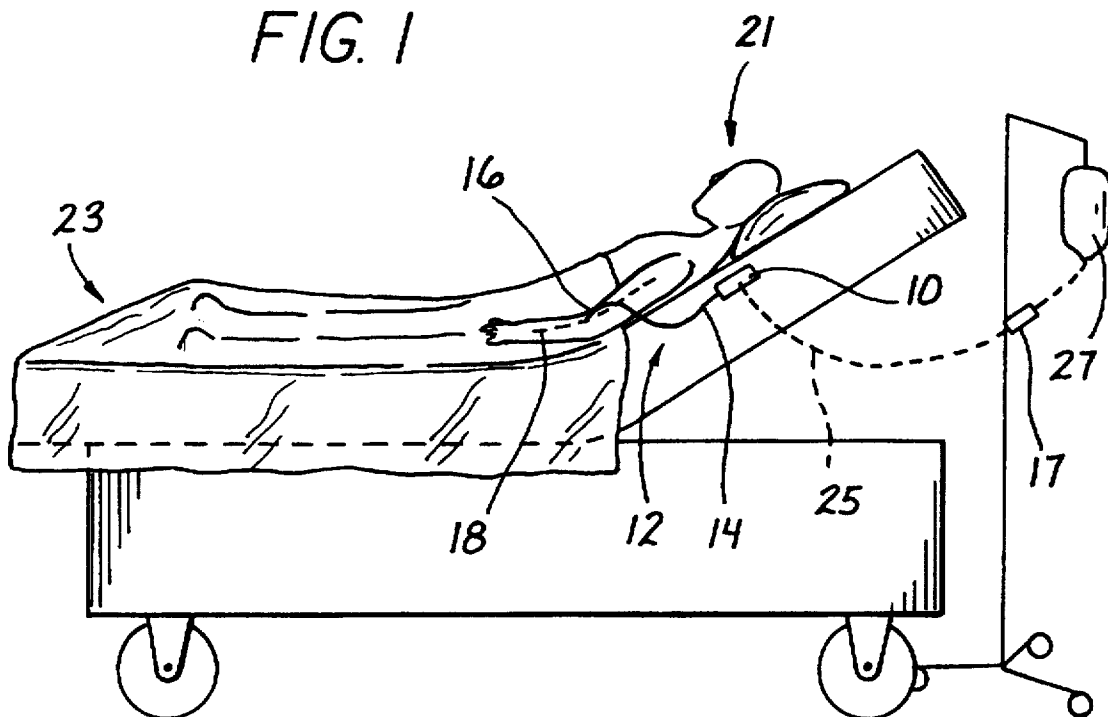
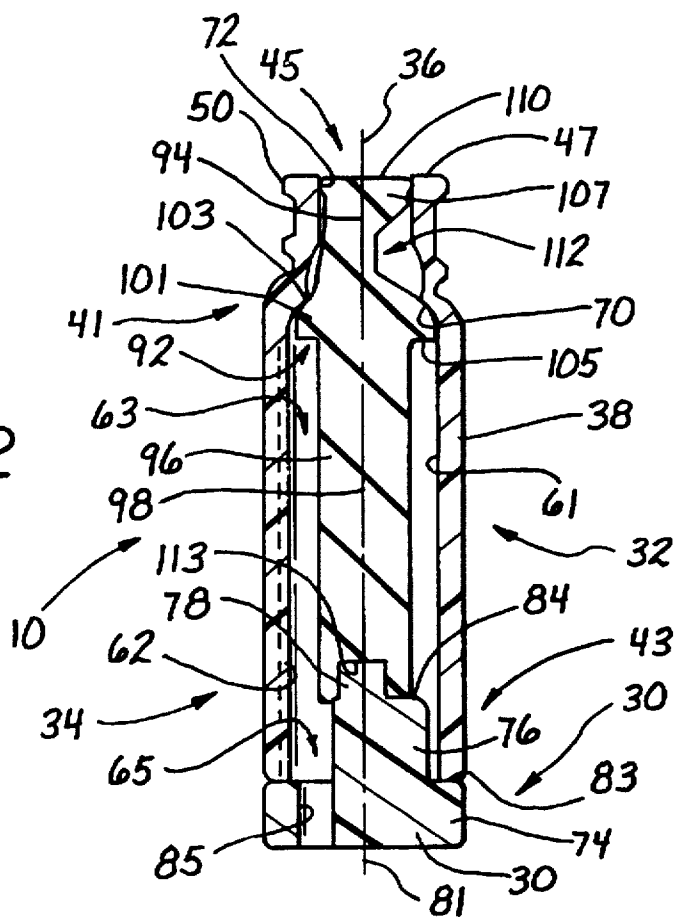


FIG. 2



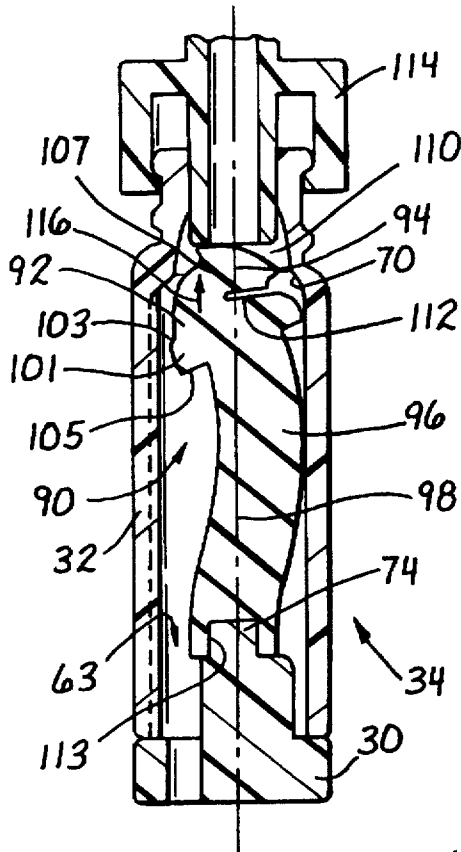


FIG. 3

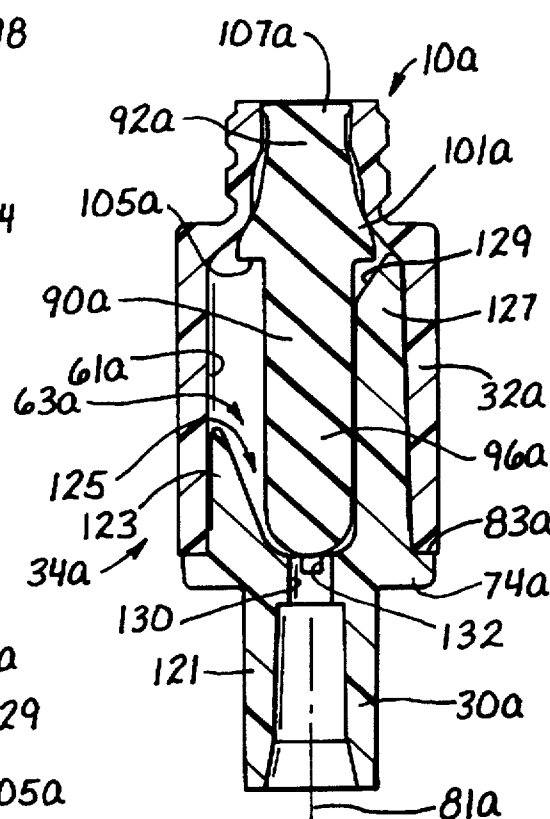


FIG. 4

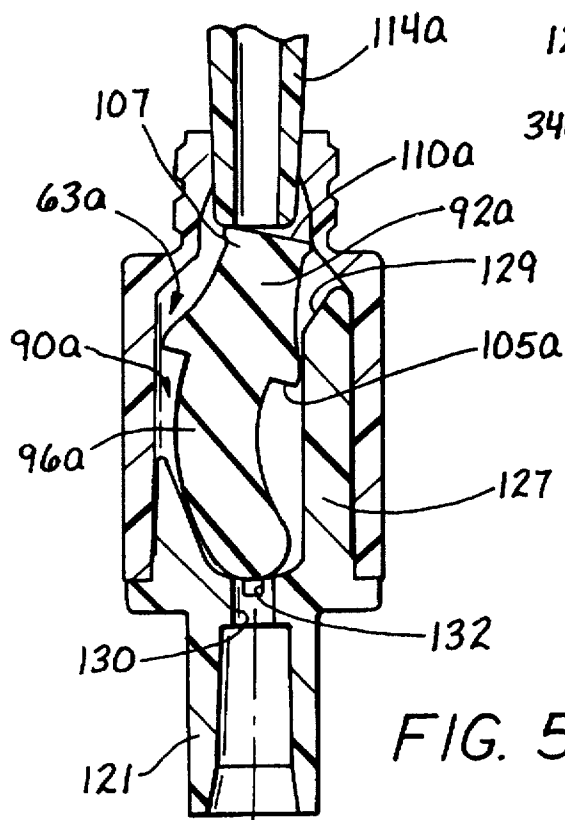


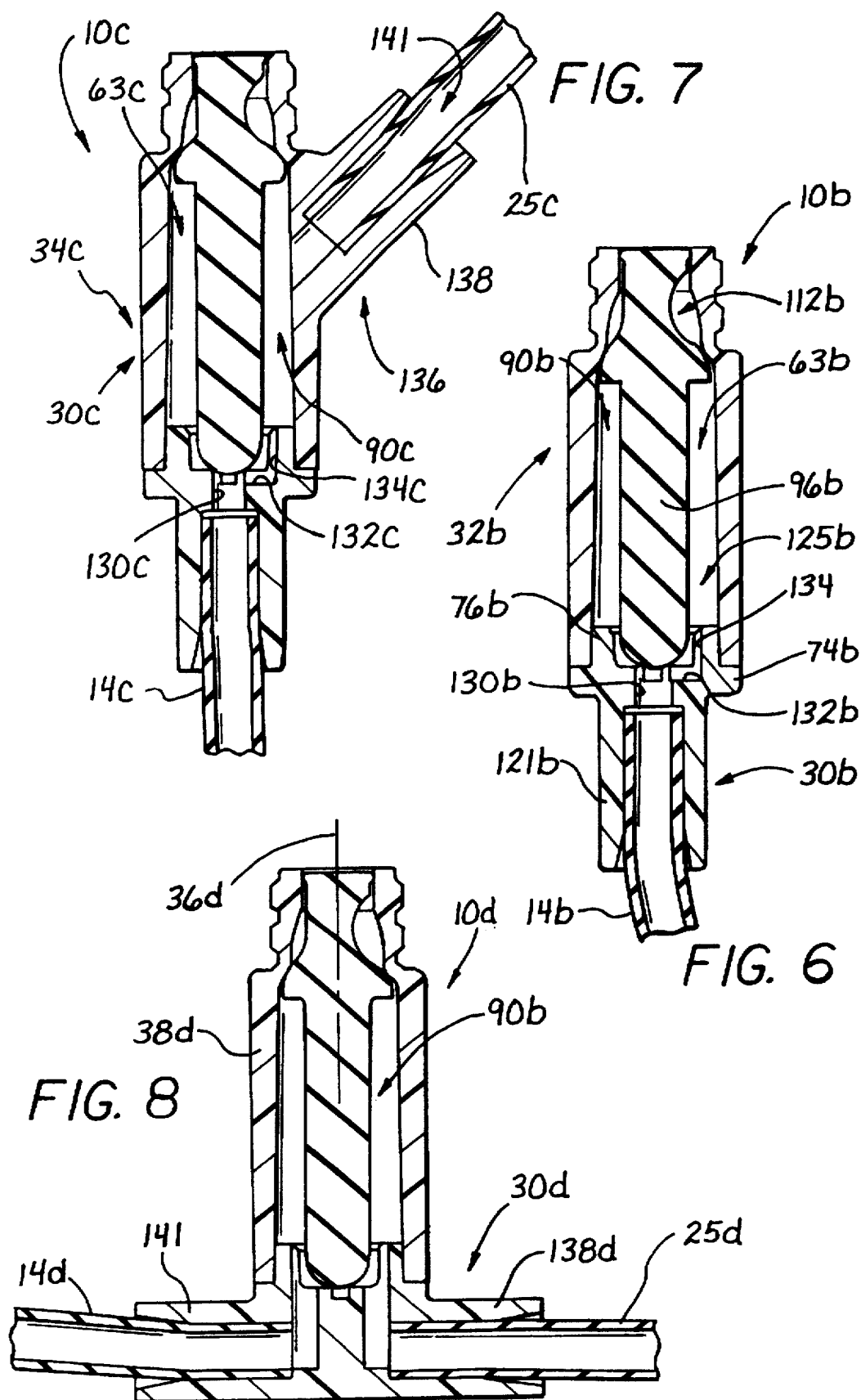
FIG. 5

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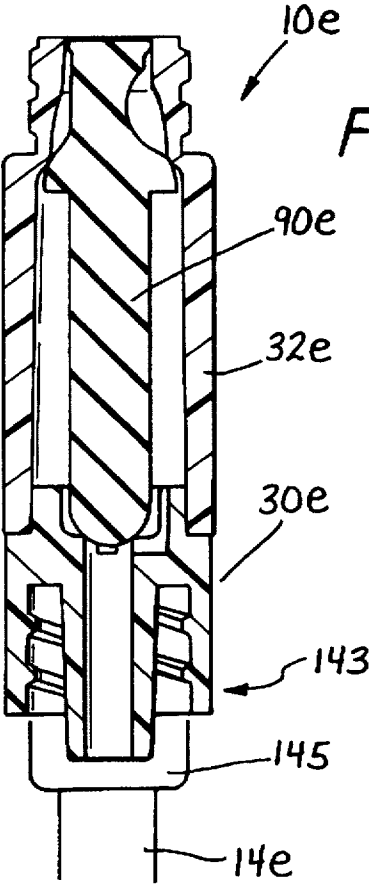


FIG. 9

FIG. 10

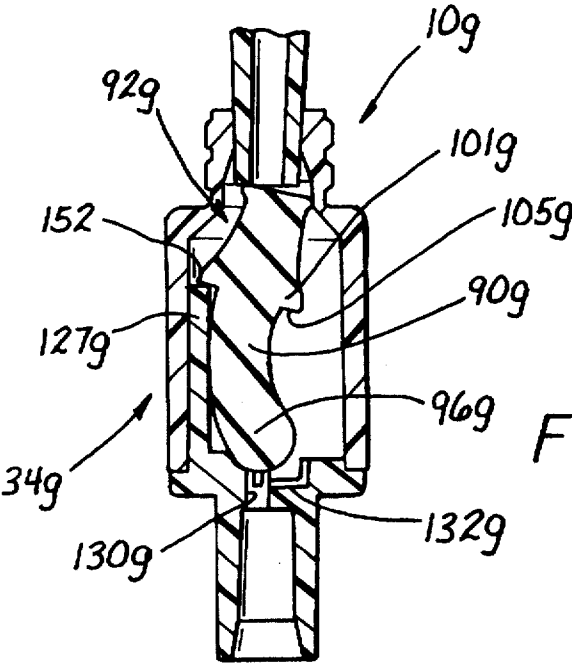
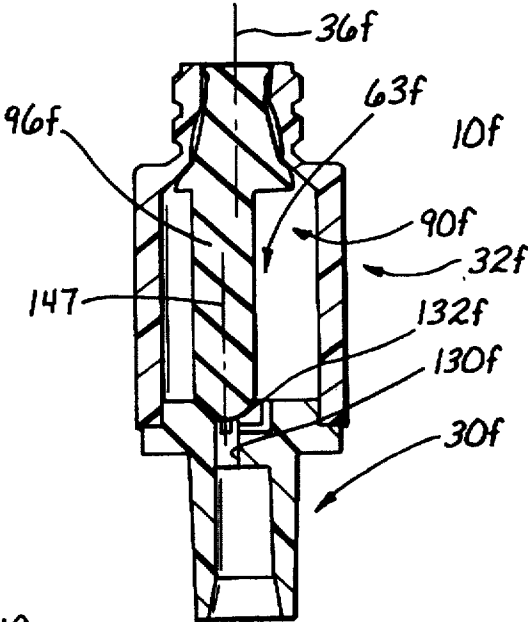
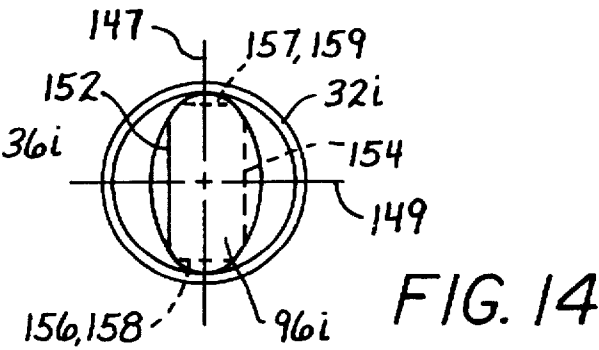
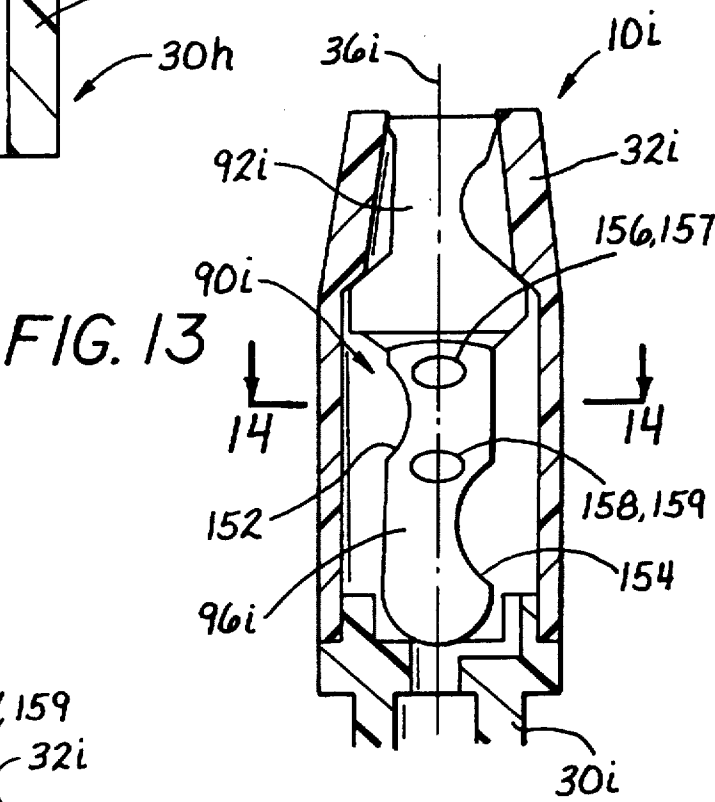
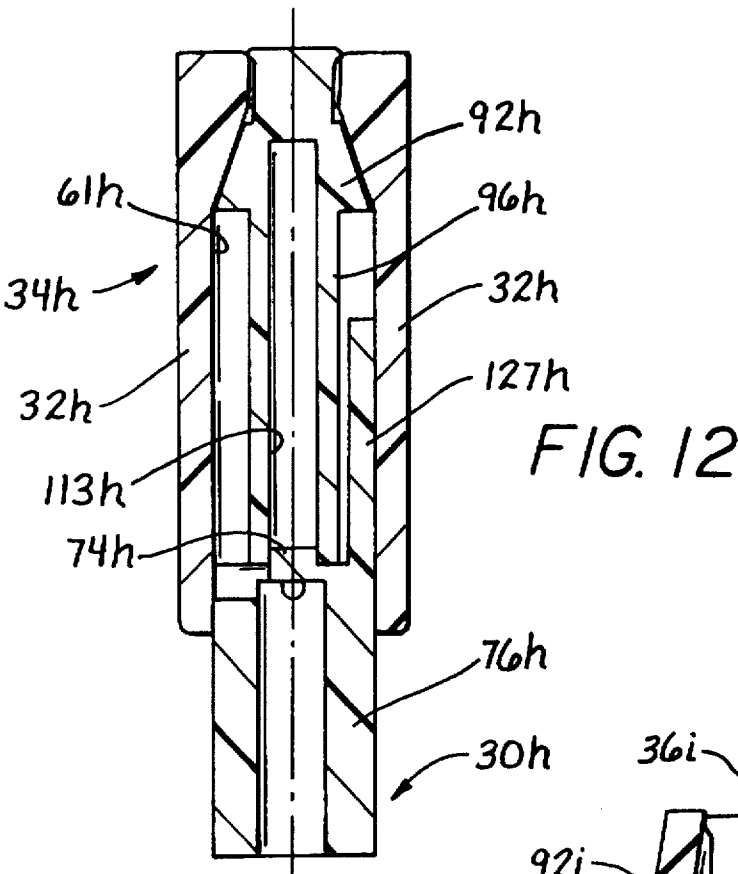


FIG. 11



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**BI-DIRECTIONAL VALVE AND METHOD OF  
USING SAME**

**BACKGROUND OF THE INVENTION**

**1. Field of the Invention**

This invention relates generally to fluid delivery devices and more specifically to valves, access parts, and other connectors for use in introducing medication and fluids into a patient and for removing fluids from the patient.

**2. Description of the Prior Art**

When it is contemplated that a patient will require multiple injections into the vascular system, an intravenous tubing set is commonly used. This set will typically include a primary needle or catheter which provides access to the vascular system, and a connector which is coupled to the needle through tubing. This connector can be repeatedly accessed by a medication delivery apparatus, such as a syringe, having a secondary needle. With this tubing set, the trauma to the patient is reduced to a single puncture of the vascular system by the primary needle. Repeated use of the secondary needle for introducing fluids into the connector does not result in additional trauma to the patient.

In some cases, the connector forms an injection site, a PRN adapter, or PRN extension set in which case the medication is added to a constant flow of nutrients or other intravenous solutions through the connector. In such applications, the intravenous solution flows from an elevated container through the connector and into the primary needle. Medications are typically added to this intravenous solution at the connector.

These types of connectors generally include a sealed entry port which communicates through the tubing and the needle into the vascular system of the patient. This sealed entry port is typically constructed of a latex plug sometimes referred to as a septum. Medication is introduced into the connector by penetrating the septum with the secondary needle. The latex septum is self-sealing such that the needle hole created in the septum automatically closes when the secondary needle is removed.

A major drawback of this conventional practice is associated with use of the secondary needle to puncture the septum. Once this needle is exposed to the patient's body fluids, within a distance of 36" from the insertion site, the injection is considered high risk, and the contaminated needle threatens the health care workers with disease and other life-threatening injuries.

Several devices have been developed which provide for secondary access without the use of the secondary needle. Representative of these devices in the apparatus disclosed is U.S. Pat. No. 5,242,432 issued to DeFrank which discloses a safety valve for introducing medication into a patient. Although such devices may eliminate the risk of secondary needle stick, they present other risks which relate to the pooling of fluid on or around external surfaces. Ideally, a connector or other access device should not promote pooling during the ordinary course of its use due to the potential for bacterial infection. If a reservoir or cavity is created and not cleaned, bacteria may develop in the reservoir. That bacteria could find its way into the patient's bloodstream while either administering fluids to the patient or removing the fluids from the patient.

**SUMMARY OF THE INVENTION**

These deficiencies of the prior art are overcome with the present invention which comprises a needleless access

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device which is inexpensive to manufacture, disposable and easily adaptable for use in various medical applications. This device eliminates the need for a secondary needle because it is easily connectable to intravenous administration sets and other standard medical fittings without the need for accessory components such as needles or blunt cannulas. It is simple in design and easy to disinfect, while offering a low cost of manufacture and high reliability.

The connector includes a housing which is typically formed with a base and a cap which define an interior channel that extends through the housing. A valve element, typically including a plug and a shaft is disposed along the channel. In a first natural position, the plug seals the channel. However, the plug is movable to a second position, typically by insertion of a male Luer fitting, in order to create the channel. In the second position, the valve element is canted off axis to open the channel and permit the administration of medication and other liquids into the patient's vascular system. In this canted position, a fluid path occurs along the channel between the top surface of the valve element and the interior of the housing.

The housing of the connector is free of any recesses or external crevices which might promote pooling or otherwise harbor microbes. The valve element and the housing form a substantially flat proximal surface which is easily wipeable, swabable or otherwise cleanable to disinfect the connector. Thus the connector can be decontaminated without requiring a separate cap which would otherwise elevate the cost of the device and complicate its use. The connector is easily activated by insertion of a male Luer fitting which is commonly used in tubing connections associated with intravenous delivery systems.

In one aspect of the invention, a connector is adapted to facilitate bidirectional flow between a male fitting and a receptacle. The connector includes a housing having an axis extending between a proximal end and a distal end which is attached to the intravenous tube. Portions of the housing define a valve seat at its proximal end. A valve element is disposed in the housing and has a second axis. The valve element is movable by insertion of the male Luer fitting into the housing from a first position to a second position. In the first position the valve element forms with a seal with the valve seat of the housing. The valve element in the second position is disposed with the second axis of the valve element displaced from the first axis of the housing to form a fluid channel from the male Luer fitting to the receptacle.

In a further aspect of the invention, the housing has a proximal surface at its proximal end. The valve element has a proximal surface which has a substantially planar relationship with the proximal surface of the housing to facilitate antiseptic wiping at the proximal end of the connector.

In a further aspect of the invention, the connector is adapted to facilitate access into an intravenous tube. The connector includes a housing and a valve element disposed in the housing to define with the housing a fluid channel extending between the proximal end and the distal end of the housing. The valve element has a second axis which is movable between a first position wherein the axis of the housing is aligned with the axis of the valve element to close the fluid channel and a second position wherein the axis of the valve element is displaced from the axis of the housing to open the fluid channel.

In still a further aspect of the invention, a connector is adapted to facilitate medicinal access to an intravenous tube. The connector includes a housing having a channel extending therethrough. A base forms a portion of the housing as



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does a cap which has a fixed relationship with the base. A valve element disposed in the channel of the housing includes a plug and a shaft having properties for biasing the plug into sealing engagement with the housing to seal the channel in a first position of the valve element, and for being axially compressed to displace the plug from the housing and open the channel.

A method associated with the invention relates to operation of the connector when it is disposed between a male fitting and a receptacle. The method includes the steps of providing a housing having a channel, and an axis extending between a proximal end and a distal end. A valve element is positioned within the channel and biased to close the channel. The receptacle is coupled to the distal end of the housing while the male fitting is positioned to engage the valve element at the proximal end of the housing. In accordance with the method the male fitting is moved generally parallel to the axis of the housing to open the channel between the male fitting and the receptacle.

These and other features and advantages of the invention will become more apparent with a discussion of preferred embodiments and best mode of the invention, and reference to the associated drawings.

DESCRIPTION OF THE DRAWINGS

FIG. 1 is a side elevation view of a patient lying on a bed and connected to an intravenous tubing set including a connector associated with the present invention;

FIG. 2 is an axial cross-section view illustrating a preferred embodiment of the connector disposed in a normal closed state;

FIG. 3 is a side elevation view of the connector illustrated in FIG. 1, the connector being actuated by a male Luer fitting and being disposed in an activated open state;

FIG. 4 is an axial cross-section view of a further embodiment of the connector providing for a deflection finger and having a spherical base to promote canting of a valve element;

FIG. 5 is an axial cross-section view of the connector illustrated in FIG. 4, the connector shown in its activated open state;

FIG. 6 is an axial cross-section view illustrating a further embodiment of the connector which is attached through a base to tubing;

FIG. 7 is an axial cross-section view of a further embodiment of the connector providing for a "Y"-site connection;

FIG. 8 is an axial cross-section view of an additional embodiment of the connector, this connector being similar to that of FIG. 6 but providing for a "T"-site connection;

FIG. 9 is an axial cross-section view of a further embodiment of the connector having a base which forms a male Luer fitting;

FIG. 10 is an axial cross-section view of an additional embodiment of the connector including a housing and a valve element with a shaft in a normal state, the shaft being displaced off-axis with respect to the housing of the connector;

FIG. 11 is an axial cross section view of a further embodiment of the connector showing a valve element canted to an open state;

FIG. 12 is an axial cross section view of still a further embodiment of the connector having a valve element with a hollow shaft;

FIG. 13 is an axial cross section view of a further embodiment of the invention wherein the shaft of the valve

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element is sculpted to facilitate priming and provide a more predictable canting configuration and flow rate; and

FIG. 14 is a radial cross section view taken along lines 14—14 of FIG. 13.

DESCRIPTION OF PREFERRED EMBODIMENTS AND BEST MODE OF THE INVENTION

A connector is illustrated in FIG. 1 and designated generally by the reference numeral 10. The connector 10 forms part of an intravenous tubing set 12 comprising a length of tubing 14, and a primary needle 16. The tubing set 12 is commonly used to facilitate connection to an IV line which may have a Y-site connector 17 for the injection of fluids and medications into a vein 18 of a patient 21. In FIG. 1, the patient 21 is illustrated in a generally prone position on a hospital bed 23.

Repeated injections of medications or other fluids can be administered with multiple needle sticks directly into the vein 18 of the patient 21. However, each needle stick would be accompanied by trauma to the patient 21. The tubing set 12 reduces this trauma by requiring only a single stick with the primary needle 16. Then the remaining secondary needle sticks can be made through the connector 10 which accesses the vein 18 through the tubing 14. These secondary needle sticks into the connector 10 avoid trauma to the patient 21.

In some cases, the connector 10 forms a "T"-site or a "Y"-site. In these cases, the connector 10 is also coupled to tubing 25 which communicates with an elevated bottle 27 containing various intravenous solutions. As the solution from the bottle 27 continuously drips into the tubing 25, it flows through the connector 10, the tubing 14 and the needle 16 into the vein 18. Additional medications can be inserted through a secondary channel in the connector 10. The tubing 25 is dotted in FIG. 1 to indicate that the connector 10 may function as a single access site or as a "T"-site or "Y"-site connector 17.

A preferred embodiment of the connector 10 is illustrated in FIG. 2 and includes a base 30, and cap 32 which together form a housing 34 which extends generally along an axis 36. The cap 32 is elongate in configuration and includes a wall 38 which extends between a proximal end 41 and a distal end 43. At the proximal end 41, the wall 38 narrows to form an opening 45 at a proximal surface 47. The wall 38 can be provided with external threads 50 which form, in a preferred embodiment, a female Luer fitting.

The wall 38 of the cap 32 has an inner surface 61 which defines a channel 63 that extends through the housing 34 to a distal opening 65. At the proximal end 41, the inner surface 61 forms an annular shoulder which functions as a primary valve seat 70 best illustrated in FIG. 2. In proximity to the proximal surface 47, the inner surface 61 forms a secondary valve seat 72 which has a more cylindrical configuration. One or more longitudinal slots 62 can be formed in the wall 38 to define the channel 63 outwardly of the surface 61.

The base 30 of the housing 34 can be provided in a variety of forms as described in greater detail below. In the embodiment of FIG. 2, the base 30 is formed as a plurality of concentric cylinders 74, 76 and 78 which are stacked along an axis 81 that is concentric with the axis 36 in this embodiment. The bottom cylinder 74 has a diameter which is generally equivalent to the outside diameter of the cap 32.

A shoulder 83 is formed where the bottom cylinder 74 meets the intermediate cylinder 76. This intermediate cylinder 76 is preferably provided with an outside diameter substantially equivalent to the inside diameter of the surface

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61. This enables the base 30 to be force fit into the distal end 43 of the cap 32, with the cap 32 resting on the shoulder 83 in abutting relationship with the cylinder 74.

Interiorly of the channel 63, the top cylinder 78 forms a post which extends proximally of the intermediate cylinder 76. A shoulder 84 is formed where the intermediate cylinder 76 meets the top cylinder 78. The base 30 is suitably apertured, typically by forming a longitudinal hole 85 in the intermediate cylinder 76 and the bottom cylinder 74, in order to extend the channel 63 through the distal end of the housing 34.

Of particular interest to the present invention is a valve element 90 which is disposed interiorly of the housing 34 and has a generally elongate configuration in the embodiment of FIG. 2. This valve element 90 may be formed totally or partially of elastomeric materials to facilitate the deflection and sealing characteristics desired for a particular embodiment of the connector 10. The valve element 90 in the illustrated embodiment includes a plug 92 which is disposed along an axis 94 and a shaft 96 extending from the plug 92 along an axis 98. In the illustrated embodiment the valve element 90 is coaxial with the housing 34. Accordingly, the various axes 36, 94, 98 and 81 are collinear in this embodiment.

The plug 92 formed at the proximal end 41 of the valve element 90 includes a flange 101 having a proximal surface 103 and a distal surface 105. Proximally of the flange 101, the plug 92 has a generally conical configuration and extends to a nose 107 having a proximal surface 110. Between the proximal surface 110 and the surface 105 associated with the flange 101, the plug 92 is provided with a buckling section at a predetermined location laterally of the axis 94. In the illustrated embodiment this buckling section takes the form of a notch 112.

The valve element 90 is of particular interest to the present invention as it is the operation of this element 90 which enables the connector 10 to provide for a closed, sealed condition in one operative state, and to provide for an actuated open condition in a second operative state. The closed state is illustrated in FIG. 2 where the proximal surface 110 has a first angle (such as 90 degrees) to the axis 36; the open state is illustrated in FIG. 3 where the proximal surface 110 has a second angle (less than the first angle) to the axis 36.

In the closed state, the valve element 90 is generally in a natural condition and is self-biased to substantially its maximum length. In this closed state, the plug 92 associated with the element 90 is biased into sealing engagement with the inner surface 61 of the cap 32. More specifically, the surface 103 of the flange 101 is pressed into sealing engagement with the primary valve seat 70 to form a primary seal between the cap 32 and the plug 92. In the closed state, a secondary seal is also formed between the circumferential surface of the nose 107 and the inner surface 61 which defines the opening 45. For reasons discussed in greater detail below, the proximal surface 110 of the valve element 90 is in a substantially planar orientation with the proximal surface 47 when the valve element 90 is in the closed state.

In the illustrated embodiment, the valve 90 is provided with an axial bore 113 at its distal end. This bore is sized and configured to receive the post associated with the cylinder 74 of the base 30. In this embodiment, the distal end of the valve element 90 has a generally fixed relationship with the housing 34. This is in contradistinction to the proximal end of the valve element 90 which is generally free to move, at least axially, relative to the housing 34.

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As illustrated in FIG. 3, the open state of the connector 10 is achieved by compressing the valve element 90 generally along its axes 94, 98. It will first be noted that this compression is achieved in a preferred embodiment by connecting a common male Luer fitting 114 to the female Luer fitting 52 associated with the cap 32. By providing the connector 10 with characteristics for being actuated by a common Luer fitting 114, the possibility of a needle stick with a secondary needle is totally avoided. In FIG. 3, the valve element 90 is compressed along its axis 94, 98. As used herein, the word "compress" and its derivatives refers to any position wherein the two ends of an elongate element are brought closer together. This may occur due to a shortening of the element along its axial length or due to a bending of the element off-axis which may or may not be accompanied with a shortening in the length of the element.

As the male Luer fitting 114 is inserted into the opening 45, it initially contacts the surface 110 of the valve element 90. Further insertion of the fitting 114 places an axial load on the valve element 90 which is felt in both the plug 92 and the shaft 96. In a preferred embodiment, this compressive force operates initially to break the secondary seal between the nose 107 and the housing 34, and then to compress the plug 92 primarily at the buckling section formed by the notch 112.

As the plug 92 collapses at the notch 112, it compresses along that side of the valve element 90 causing the proximal surface 110 to dip in the area of the notch 112. This effectively moves the area of contact between the Luer fitting 114 and the surface 110 away from the axis 94 and toward the side of the plug 92 opposite the notch 112. This area of contact is illustrated in FIG. 3 by an arrow 116 which is displaced from the axis 94.

As the notch 112 collapses, the additional force applied by insertion of the fitting 114 also operates to axially compress the shaft 96. With the compression of the valve element 90, the shaft 96 tends to cant, buckle, bow or otherwise bend toward the side of the axis 98 opposite the arrow 116. This compression of the shaft 96 tends to break the primary seal formed between the surface 103 of the plug 92 and the valve seat 70 of the cap 32. Having broken both the primary seal associated with the primary valve seat 70, and the secondary seal associated with the nose 107, the channel 63 is now opened to receive medication or other liquids through the Luer fitting 114 and the housing 34. Within the housing 34, this channel 63 is defined between the surface 61 of the cap and the outer surface of the valve element 90.

In further embodiments of the invention, elements of structure which are similar to those previously discussed will be designated by the same referenced numeral followed by a lower case letter associated with that embodiment. Accordingly, the embodiment of FIG. 4 includes the cap 32a and the base 30a which together form the housing 34a. The valve element 90a includes the plug 92a and the shaft 96a. This embodiment of the connector 10a differs from that previously discussed primarily in the structural configuration of the base 30a and the complimentary configuration associated with the distal end of the valve element 90a.

In the embodiment of FIG. 4, the base 30a includes the cylinder 74a and the associated shoulder 83a. Extending distally from the cylinder 74a, however, is a cylindrical fitting 121 which is sized and configured to receive the tubing 14 (FIG. 1). Proximally of the cylinder 74a, the base 30a is formed with a cylindrical structure 123 which has an outer surface 125 and defines a rounded cavity. The outer surface has an outside diameter which is substantially



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equivalent to the inside diameter of the surface 61. This facilitates sealing engagement between the cap 32a and the base 30a in the manner previously discussed. Upstanding from the cylindrical structure 123 is a finger or post 127 which extends into proximity with the plug 92a of the valve element 90a. In this particular embodiment, the finger 127 has a surface 129 which is disposed distally of the surface 105a of the flange 101a and which is inclined generally toward the plug 92a.

The closed state of this connector 110a is illustrated in FIG. 4 where the primary seal is formed by the flange 101a, and a secondary seal is formed by the nose 107a. The valve element 90a in this embodiment differs from that previously discussed in that there is no area of predetermined buckling, such as the notch 112 of the FIG. 2 embodiment. Additionally, there is no post 74 associated with the base 30a, but rather the valve element 90 is provided with a rounded configuration at the distal end of the shaft 96a. This rounded end of the shaft 96a seats (with a reduced radius of curvature) within the arcuate spherical recess 125 provided in the base 30a.

The channel 63a in the embodiment of FIG. 4 also differs from that previously discussed, primarily in the vicinity of the base 30a. In the illustrated embodiment, the base 30a is apertured along the axis 81a to form a hole 130. This hole 130 communicates with slots 132 and functions primarily to seat the hemispherical end of the shaft 96a along the axis 81a. The slots 132 provide access to the hole 130 radially outwardly of the shaft 96a to provide communication for the channel 63a between the cap 32a and the base 30a.

The connector 10a is illustrated in FIG. 4 in its natural closed state, and in FIG. 5 in its activated, open state. Once again, activation of the connector 10a is preferably accomplished with the male Luer fitting 114a. Insertion of this fitting against the proximal surface 110a of the plug 92a operates to axially compress the valve element 90a. In the absence of the notch 112 however, the fitting 114a tends to compress the element 90a axially until the distally facing surface 105a of the plug 92a contacts the surface 129 associated with the finger 127. At this point further axial compression by the fitting 114a forces the plug 92a slide along the surface 129 and to cant toward the finger 127. This causes the shaft 96a to cant away from the finger 127. The resulting portion of the element 90a illustrated in FIG. 5. The hemispherical shape of the shaft 96a facilitates rotation of its distal end within the arcuate recess 125 of the base 30a. It will be noted that in this embodiment the distal end of the shaft 96a is not axially fixed as was the case with the embodiment of FIG. 3. In general, this provides the advantage of a shorter shaft 96a and a commensurately shorter connector 10a.

With the valve element canted as illustrated in FIG. 5, the channel 63a extends through the Luer fitting 114a and along side the valve element 90a interiorly of the housing 34a. In the base 30a, the channel 63a extends into the slots 132 and the hole 130 to exit the connector 10a through the fitting 121.

A further embodiment of the invention is illustrated in FIG. 6 where the connector is designated by the reference numeral 10b. This embodiment is similar to that discussed with reference to FIG. 2 in that it includes the notch 112b. It is similar to the embodiment of FIG. 4 in that the shaft 96b is provided with a hemispherical distal end. The base 30b is also similar to that illustrated in of FIG. 4 in that it includes the fitting 121b and it provides a recess 125b to receive the distal end of the shaft 96b. This embodiment of the base 30b

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is similar to that discussed in FIG. 1 in that it includes the cylinder 76b in proximity to the cylinder 74b. In this particular embodiment, however, the cylinder 76b is radially apertured to form a slot 134 outwardly of the shaft 96b. This slot 134 communicates with the channel 63b interiorly of the cap 32b as well as the radial slot 132b and hole 130b associated with the base 30b. Deflection of the valve element 90b in response to insertion of the Luer fitting 114 is accomplished generally as described with reference to FIG. 3. However, the hemispherical distal end of the valve element 90b facilitates its canted orientation.

In the embodiment of FIG. 7, the connector 10c is similar to that of FIG. 6 except for the provision of a "Y"-site designated generally by the reference numeral 136. In this case, the cap 30c includes a fitting 138 which is sized and configured to receive the tubing 25c previously discussed with reference to FIG. 1. Thus the connector 10c is a "Y"-site connector which includes a primary channel 141 which extends through the tubing 25c, the fitting 138, interiorly of the housing 34c, and through the slots 132c, 134c and the hole 130c into the tubing 14c. It will be noted that this channel 141 is continuously open and unaffected by the disposition of the valve element 90c. When the valve element 90c is axially compressed, however, the channel 63c communicates with the channel 141 and permits the introduction of medication or other liquids into the tubing 14c.

A "T"-site connector 10d is illustrated in FIG. 7. The base 30d and valve element 90d are similar to those previously discussed but the base 30d differs considerably. In this embodiment, the fitting 138d which is configured to receive the tubing 25d is formed as part of the base 30d. A similar fitting 141 is provided to extend generally in the opposite direction from the fitting 138d and is sized and configured to receive the tubing 14d. With this configuration, the tubing 14d and 25d are generally collinear, while the axis 36d associated with the housing 34d is generally perpendicular to the tubing 14d, 25d.

The connector 10e illustrated in FIG. 9 includes a cap 32e and valve element 90e which can be similar to any of the embodiments previously discussed. In this case however, the base 30e is provided in the form of a male Luer fitting 143 making it particularly adapted for connection to tubing, such as the tubing 14e, which terminates in a female Luer fitting 145.

The connector 10f illustrated in FIG. 10 includes a cap 32f which is generally symmetrical about an axis 36f. However, in this embodiment the valve element 90f is generally asymmetrical. Although the plug 92f in this embodiment can be coaxial with the housing 32f, the shaft 96f is preferably disposed along an axis 147 which is non-aligned with the axis 36f of the housing 32f. This configuration for the valve element 90f is advantageous in that a force applied along the axis 36f is not applied along the axis 147 associated with the shaft 96f. This tends to cause the shaft 96f to cant or buckle in the manner previously disclosed in order to open the channel 63f and facilitate the introduction of medication. In order to accommodate the off-axis orientation of the shaft 96a, a base 30f is formed with a hole 130f and slots 132f which are similarly to those described in FIG. 6. However in this embodiment, the hole 130f and slots 132f are similarly offset for disposition relative to the axis 147. Thus, even though the shaft 96f is offset from the axis 36f, it is nevertheless symmetrically disposed with reference to the hole 130f and slots 132f in the manner previously described with reference to FIG. 6.

The connector 10g illustrated in FIG. 11 is similar to the connector 10a illustrated in FIGS. 4 and 5 in that it also

includes a finger 127g. However, in the earlier embodiment, the finger 127 was provided with the surface 129 which faced proximally and radially toward the valve element 90. In FIG. 11, the finger 127g includes a surface 152 which faces proximally, but in this embodiment the surface 152 does not face toward the valve element 90g. As a consequence, the distally facing surface 105g associated with the plug 92g tends to engage and pivot on the surface 152 associated with the finger 127g. Rather than permitting these two surfaces to slide against each other, as was the case with the FIG. 4 embodiment, their engagement in the embodiment of FIG. 11 causes the valve element 90g to cant in the opposite direction. Thus, the plug 90g tilts away from the finger 127g while the shaft 96g bends toward the finger 127g. With this distinction, both of the embodiments of FIGS. 4 and 11 function to open and close the respective connectors 10a and 10g when the valve element 90 is axially compressed within the housing 34.

In still a further embodiment of the connector 10h illustrated in FIG. 12, the cap 32h fits directly over the base 30h. In this embodiment, the base 30h does not include the enlarged cylinder 74 (FIG. 2) but only the intermediate cylinder 76h which has substantially the same diameter as the inner surface 61h of the cap 32h. This embodiment of the connector 10h is of further interest due to its elongation of the bore 113h which is sized to fit on the post 74h. In this case, the bore 113h extends along the entire length of the shaft 96h and into the plug 92h. The post 74h will typically have an axial length significantly shorter than that of the bore 113h. As a consequence, those regions of the bore 113h which extend beyond the bore 74h provide the shaft 96h with a generally hollow structure. This configuration adds considerable flexibility to the shaft 96h and facilitates its canting or bending relationship with the housing 34h.

In still a further embodiment of the connector 10i illustrated in FIG. 13, the base 30i and cap 32i can be provided with any of the configurations previously disclosed. Similarly, the plug 92i can be formed as previously disclosed. This embodiment of FIG. 13 differs primarily in the structural formation of the shaft 96i which is provided with a generally sculpted configuration. As opposed to the previous embodiment where the shaft 96 has been formed substantially as a cylinder with a circular cross section, the shaft 96i of FIG. 13 can have generally any cross sectional shape such as the shape of an ellipse. This shape is best illustrated in FIG. 14 where the ellipse is characterized by a major axis 147 and a minor axis 149. Notches can be formed at various positions to provide a variety of advantages to the connector 10i. In the illustrated embodiment, these notches include a pair of relatively large notches 152 and 154 and a pair of relatively smaller notches 156 and 158. Opposing smaller notches 157 and 159, shown separately in FIG. 14, may be provided on the opposite side of the shaft 96i.

In the illustrated embodiment, the major notches 152 and 154 are formed on opposing sides of the elliptical shaft 96i. They are similar in shape but offset from each other along the axis 36i of the connector 10i. These notches 152 and 154 preferably extend parallel to the major axis 147 and inwardly toward the axis 36i a distance less than half of the dimension of the shaft 96i along the minor axis 149. In this embodiment, the smaller notches 156-159 are formed along the major axis 147.

The notches 152-159 are representative of any structural deviation in an otherwise smooth surface of the shaft 96i. The larger notches 152 and 154 will affect the canting or bending of the shaft 96a as it moves toward its compressed state. Where these notches 152 and 154 are formed at

positions displaced along the axis 36i, the shaft 96i will tend to form a different bend at each of the notches 152 and 154. Where these notches 152, 154 are diametrically opposed, the bend of the shaft 96a will have an "s" shape as illustrated in FIG. 13. Thus the larger notches 152, 154 provide for more predictable bending of the shaft 96i in the compressed state. When the shaft 96i forms more than one bend, its axial length can generally be shorter. This advantage is particularly appreciated when a shorter connector 10i is desired.

The smaller notches 156-159 create flow channels around the valve element 90i. The smaller notches 156-159 also facilitate priming the connector 10i by preventing dead spots in the flow channel which might otherwise trap air bubbles.

In the illustrated embodiments, when the valve element 90 is in the natural closed state, its proximal surface 110 is in a substantially planar orientation with respect to the surface 47 of the cap 32. This orientation is preferred in order to avoid reservoirs and crevices which might otherwise result in pooling and bacterial contamination. With the substantially planar orientation of the surfaces 110 and 47, the proximal end of the connector 10 can be easily wiped in order to disinfect this region of the connector 10. This enables the connector 10 to be formed without the additional cost and inconvenience associated with a proximal end cap common to prior devices.

In each of the foregoing embodiments, the connector 10 functions to open and close the channel 63 by operation of the male Luer fitting 114. This type of fitting is commonly available and offers no risk of needle stick to the health care workers. The connector 10 can be constructed of only three elements including the cap 32 and base 30 which form the housing 34 and the elastomeric valve element 90. Both elongate and compact configurations can be provided depending on design considerations associated with the base 30 and the distal end of the shaft 96. The canting or bending of the valve element 90 can be facilitated by providing for an predetermined buckling section such as the notch 112 or by providing the finger 127 having an interfering relationship with the plug 92 as in the connector 10f. Both primary and secondary seals can be formed between the valve element 90 and the inner surface 61 of the cap 32. These seals can be non-simultaneously activated or deactivated to close or open the channel 63 of the connector 10.

In the foregoing embodiments, the connector 10 has been disclosed for use with intravenous tubing which is used to access the vascular system of a patient. The advantages provided by the connector would be equally appreciated if it were used to access other body conduits such as those associated with the urinary system. In general, the connector 10 will be operable by the male Luer fitting 114 to provide controlled access to the tubing set 12 or any other receptacle. Such other receptacles may include for example a catheter, a fluid reservoir or an injection manifold of the type commonly used for the administration of anesthesia. In this context, the connector 10 can be used to generally control the flow of any fluid, either gas or liquid, where it functions automatically to provide self-sealing with controlled access for either unidirectional or bidirectional flow.

Given these wide variations, which are all within the scope of this concept, one is cautioned not to restrict the invention to the embodiments which have been specifically disclosed and illustrated, but rather encouraged to determine the scope of the invention only with reference to the following claims.

We claim:

1. A connector adapted to facilitate bi-directional flow between a male Luer fitting and a receptacle tube, comprising:

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a housing having an axis extending between a proximal end and a distal end, the housing having its distal end attached to the intravenous tube and portions defining a valve seat at its proximal end;

a valve element disposed in the housing and having a second axis, the valve element being movable by insertion of the male Luer fitting into the proximal end of the housing from a first position to a second position, the valve element in the first position forming with the valve seat of the housing a seal; and

the valve element in the second position being disposed with the second axis of the valve element displaced from the first axis of the housing to form a fluid channel from the male Luer fitting to the receptacle tube.

2. The connector recited in claim 1 wherein:

the housing at its proximal end is defined by a first wipable surface; and

the valve element has a second wipable surface which in the first position has a substantial planar relationship with the first wipable surface of the housing.

3. The connector recited in claim 1 wherein the fluid channel through the housing is disposed between the housing and the valve element.

4. The connector recited in claim 1 wherein the valve element is asymmetrical about the second axis so that a force directed against the valve element along the first axis of the housing causes the valve element to cant from the first position to the second position.

5. The connector recited in claim 4 wherein the second axis of the valve element is aligned with the first axis of the housing when the valve element is in the first position.

6. A connector adapted to facilitate bi-directional flow between a male Luer fitting and an intravenous tube, comprising:

a housing having an axis extending between a proximal end and a distal end, the housing having a proximal surface and a valve seat at its proximal end, and being adapted at its distal end for connection to the intravenous tube;

a valve element disposed in the housing and having a proximal surface, the valve element being movable by insertion of the male Luer fitting into the housing from a first position to a second position;

the valve element in the first position forming a seal with the valve seat of the housing;

the valve element in the second position being displaced off-axis from the first position to form a fluid channel from the male Luer fitting to the intravenous tube the fluid channel being non-concentric with the axis of the housing; and

the proximal surface of the valve element having a substantially planar relationship with the proximal surface of the housing to facilitate antiseptic wiping at the proximal end of the connector.

7. The connector recited in claim 6 wherein:

the housing having a first axis;

the valve element has a second axis; and

the valve element in the second position is disposed with the second axis in a displaced relationship with the first axis of the housing.

8. A connector adapted to facilitate access into a receptacle comprising:

a housing having a first axis extending between a proximal end and a distal end, the housing having its distal end attached to the receptacle; and

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a valve element disposed in the housing and defining with the housing a fluid channel extending between the proximal end and the distal end of the housing, the valve element having a second axis and being movable between a first position wherein the first axis is aligned with the second axis to close the fluid channel, and a second position wherein the second axis is displaced from the first axis to open the fluid channel.

9. The connector recited in claim 8 further comprising:

portions of the housing forming a valve seat; and

the valve element being disposed in sealing engagement with the valve seat of the housing when the valve element is in the first position.

10. The connector recited in claim 9 further comprising:

portions of the valve element forming a predetermined area of buckling on one side of the second axis; whereby

axial compression of the valve element collapses the valve element portions resulting in displacement of the second axis of the valve element from the first axis of the housing.

11. The connector recited in claim 10 wherein the valve element further comprises:

a plug;

a shaft disposed at the distal end of the housing and supporting the plug in proximity to the proximal end of the housing; and

the portions of the valve element being included in the plug of the valve element.

12. The connector recited in claim 10 wherein the housing further comprises:

a base supporting the valve within the housing; and

a cap coupled to the base and including the portions defining the valve seat.

13. The connector recited in claim 12 wherein the valve element further comprises:

a plug disposed along the second axis; and

a shaft supporting the plug within the housing and disposed along a third axis different than the second axis.

14. The connector recited in claim 12 wherein the housing further comprises:

portions of the cap defining at least one longitudinal slot forming the channel in juxtaposition to the valve element.

15. A connector adapted to facilitate bi-directional flow with an intravenous tube, comprising:

a housing having an axis and a channel extending through the housing;

a base forming a portion of the housing;

a cap forming a portion of the housing and having a fixed relationship with the base;

a valve element disposed in the channel of the housing and defining with the housing a fluid flow path;

a plug included in the valve element;

a shaft included in the valve element and having properties for biasing the plug into sealing engagement with the housing to seal the channel in a first position of the valve element, and for being axially compressed to displace the plug from the housing and open the channel in a second position of the valve element; wherein

the fluid flow path is concentric with the axis of the housing when the valve element is in the first position and the fluid flow path is displaced from the axis of the housing when the valve element is in the second position.



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16. The connector recited in claim 15 further comprising:  
portions of the base forming an arcuate cavity facing  
toward the cap of the housing; and

portions of the shaft of the valve element having an  
arcuate configuration and being seated in the cavity of  
the base.

17. A method for operating a connector disposed between  
a male fitting and a receptacle, including the steps of:

providing a housing having a channel and an axis, the axis  
extending between a proximal end of the housing and  
a distal end of the housing;

positioning a valve element in the channel of the housing;  
biasing the valve element to close the channel of the  
housing;

coupling the receptacle to the distal end of the housing;  
engaging the valve element at the proximal end of the  
housing with a male fitting; and

moving the male fitting generally parallel to the axis of the  
housing to open the channel substantially along only  
one side of the housing between the male fitting and the  
receptacle.

18. The method recited in claim 17 wherein the moving  
step includes the step of moving the male fitting generally  
along the axis of the housing.

19. The method recited in claim 17 wherein the method  
step includes the step of:

compressing the valve element to open the channel of the  
housing.

20. The method recited in claim 19 wherein:

the positioning step includes the step of providing a valve  
element with a length;

the biasing step includes the step of positioning the valve  
element with its length extending along the axis of the  
housing to close the channel; and

the compressing step includes the step of compressing the  
valve element off-axis to open the channel of the  
housing between the male fitting and the receptacle.

21. A connector adapted to facilitate access into a  
receptacle, comprising:

a housing having an axis extending between a proximal  
end and a distal end, the housing having its distal end  
attached to the receptacle; and

a valve element disposed in the housing and having a  
proximal surface, the valve element being movable  
between a first position wherein the proximal surface  
has a first acute angle with the axis of the housing and  
a second position wherein the proximal surface has a  
second angle with the axis of the housing; and

the first angle being greater than the second angle.

22. The connector recited in claim 21 wherein:

the housing includes portions defining a channel extend-  
ing through the housing;

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the valve element being disposed within the channel of  
the housing;

the valve element in the first position forming a seal with  
the housing to close the channel; and

the valve element in the second position being com-  
pressed off-axis to open the channel through the hous-  
ing.

23. The connector recited in claim 21 wherein the valve  
element includes:

a plug disposed in proximity to the proximal end of the  
housing;

a shaft formed integral with the plug and extending into  
proximity with the distal end of the housing;

portions of the plug forming a first buckling section with  
characteristics for being axially compressed to move  
the proximal surface from the first position toward the  
second position; and

portions of the shaft defining a second buckling section  
with characteristics for being compressed to move the  
proximal surface to the second position.

24. A connector adapted to facilitate access into a  
receptacle, comprising:

a housing having a first axis extending between a proxi-  
mal end and a distal end, the housing having its distal  
end attached to the receptacle;

a valve element disposed in the housing and defining with  
the housing a fluid channel extending between the  
proximal end and the distal end of the housing, the  
valve element having a second axis and being movable  
between a first position wherein the first axis is aligned  
with the second axis to close the fluid channel, and a  
second position wherein the second axis is displaced  
from the first axis to open the fluid channel;

portions of the housing defining a valve seat, the valve  
element being disposed in sealing engagement with the  
valve seat of the housing when the valve element is in  
the first position;

portions of the valve element forming a predetermined  
area of buckling on one side of the second axis such  
that axial compression of the valve element collapses  
the valve element portions resulting in displacement of  
the second axis of the valve element from the first axis  
of the housing;

a base included in the housing and supporting the valve  
element within the housing;

a cap included in the housing and coupled to the base, the  
cap including the portions defining the valve seat; and

a finger included in the base of the housing and extending  
longitudinally within the cap to engage the valve ele-  
ment between the first position of the valve element and  
the second position of the valve element in order to  
displace the second axis from the first axis.

\* \* \* \* \*

# EXHIBIT B



US005730418A

**United States Patent** [19][11] **Patent Number:** **5,730,418****Feith et al.**[45] **Date of Patent:** **Mar. 24, 1998**[54] **MINIMUM FLUID DISPLACEMENT  
MEDICAL CONNECTOR**[75] Inventors: **Raymond P. Feith, Rialto; David L.  
Ludwig, San Juan Capistrano; Timothy  
L. Truitt, Orange, all of Calif.**[73] Assignee: **The Kipp Group, Ontario, Calif.**[21] Appl. No.: **724,180**[22] Filed: **Sep. 30, 1996**[51] Int. Cl.<sup>6</sup> ..... **F16L 37/28**[52] U.S. Cl. .... **251/149.6; 251/149.1;  
604/256; 604/905**[58] Field of Search ..... **251/149.1, 149.6;  
604/905, 256**

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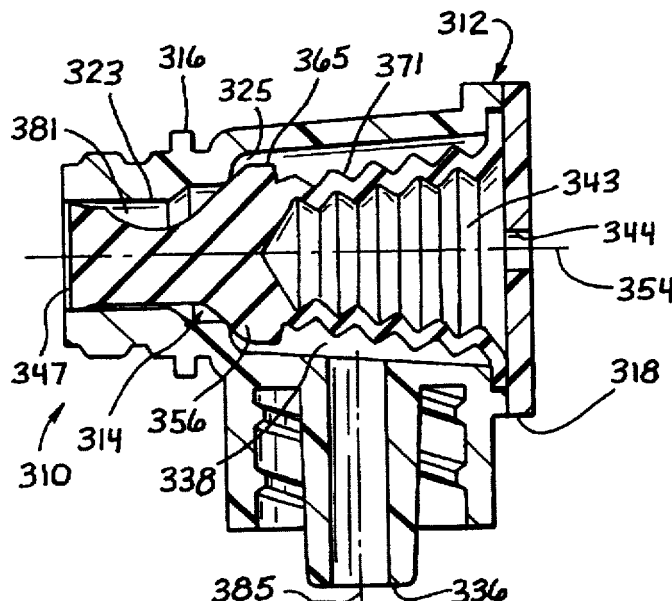
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## [57]

**ABSTRACT**

A device for transferring fluid with minimum fluid displacement includes a valve internal chamber adapted for receiving an actuator therethrough for facilitating introduction of fluid into the valve internal chamber. A biased member abuts against either a compressible gas or an ambient atmosphere, and is adapted for being moved by the actuator. Movement of the biased member results in displacement of either the compressible gas or the ambient atmosphere to thereby offset a displacement of fluid in the valve internal chamber that was introduced by insertion of the actuator into the valve internal chamber. A valve outlet port is adapted for outputting fluid from the valve internal chamber. The valve outlet port is configured in fluid communication with the valve internal chamber at all times, and is adapted for allowing fluid to freely flow between the valve internal chamber and the valve outlet port.

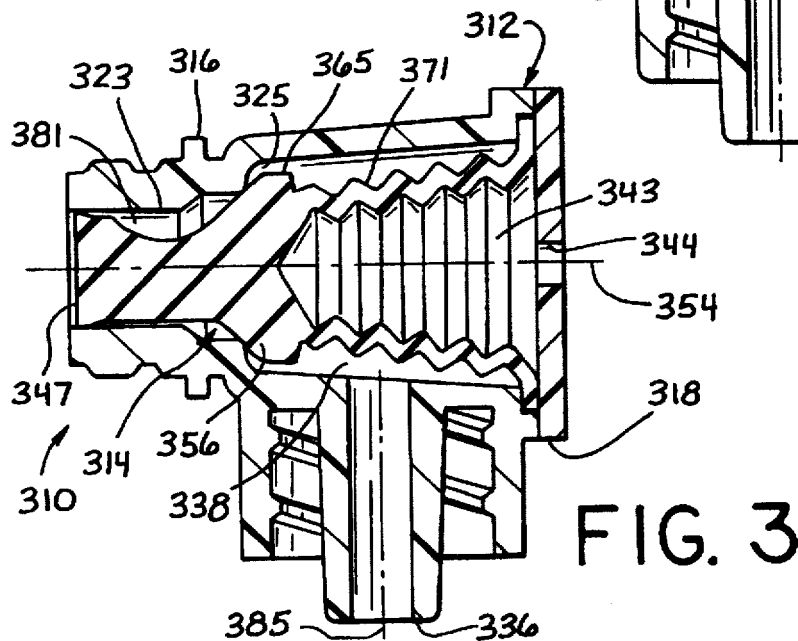
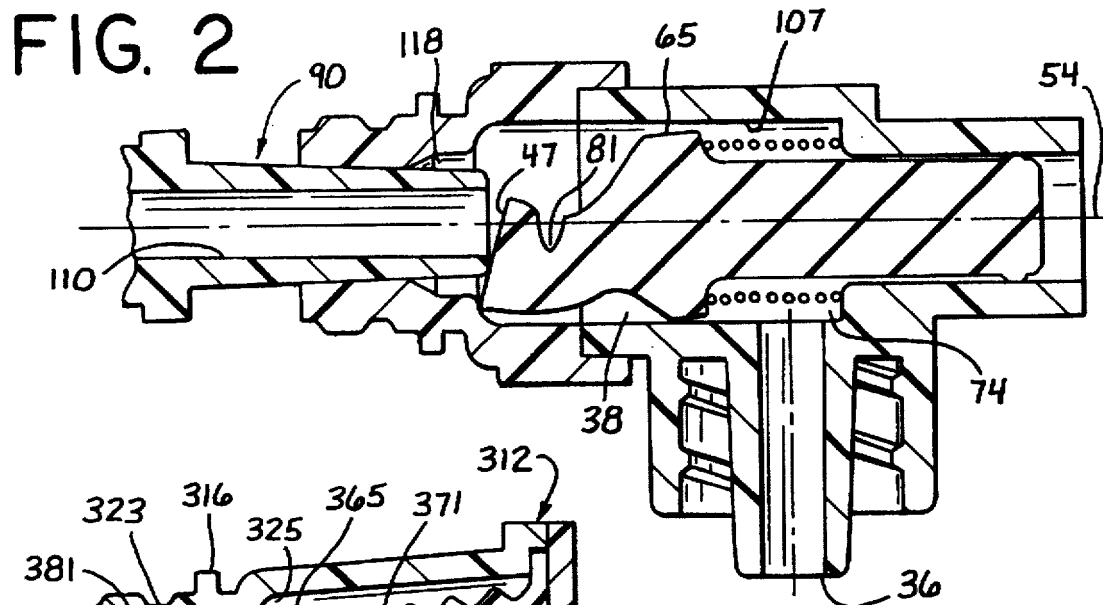
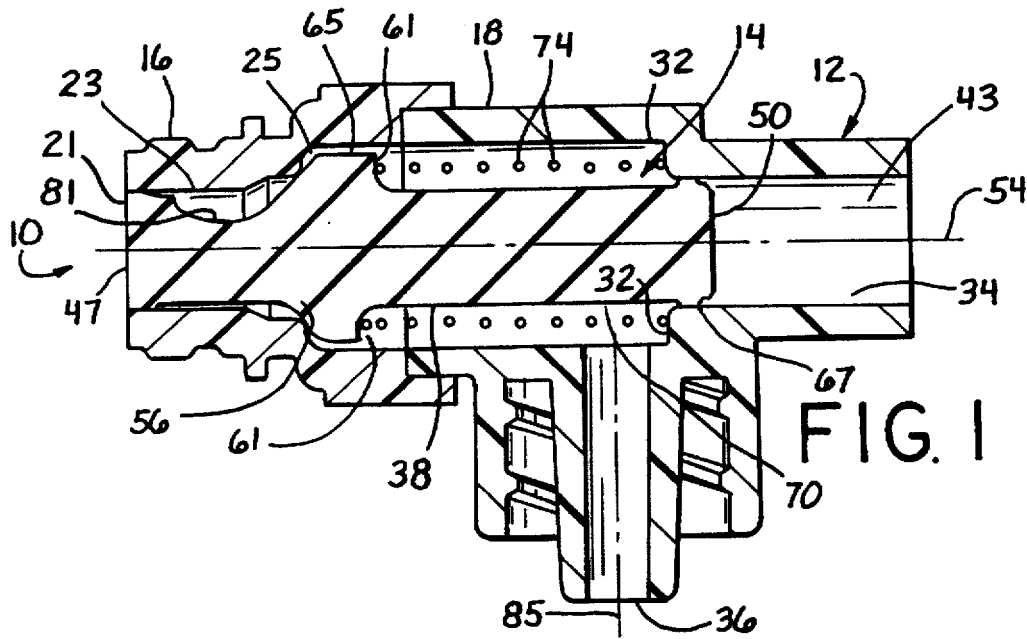
**32 Claims, 3 Drawing Sheets**

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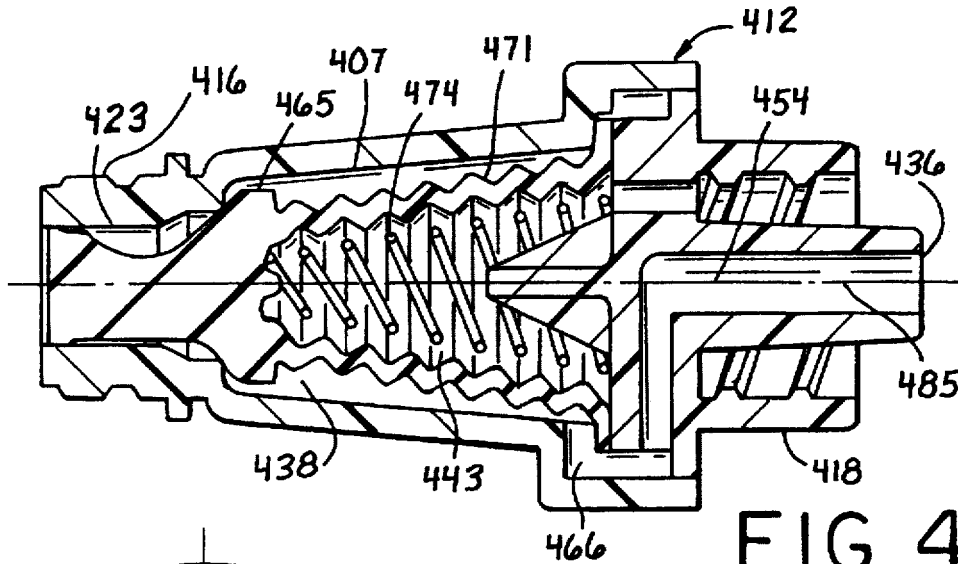


FIG. 4

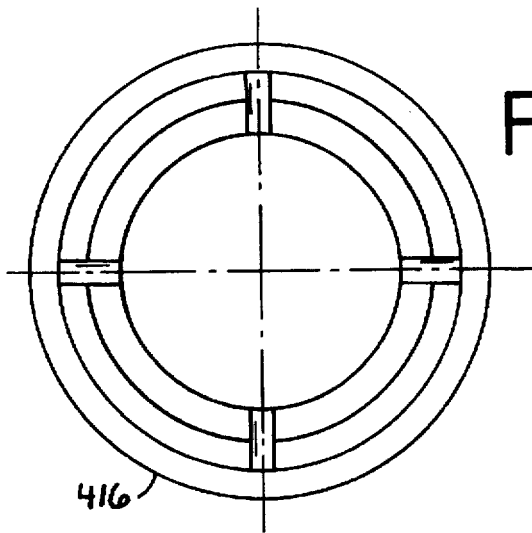


FIG. 5

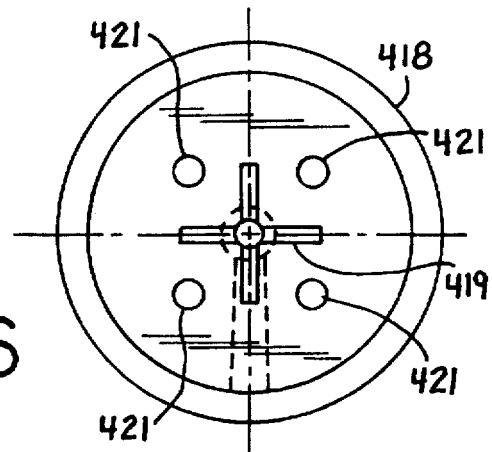


FIG. 6

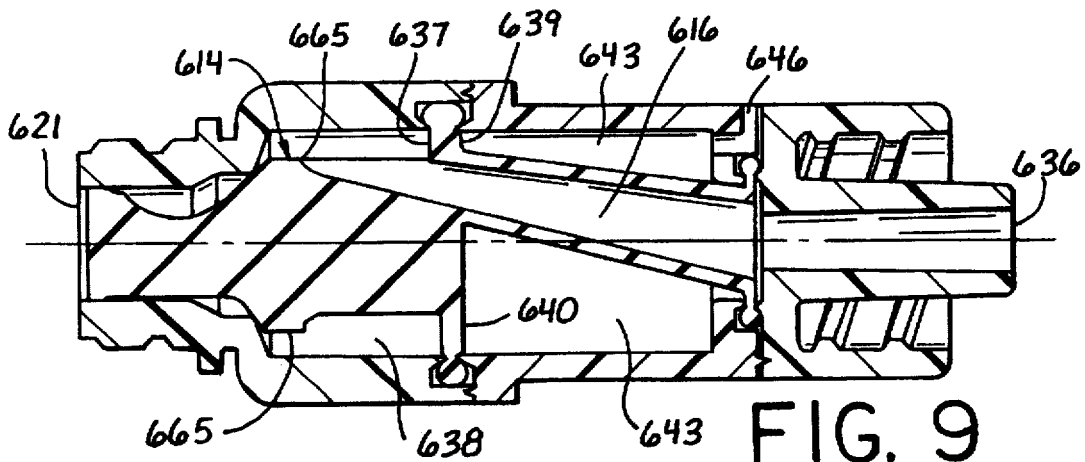


FIG. 9

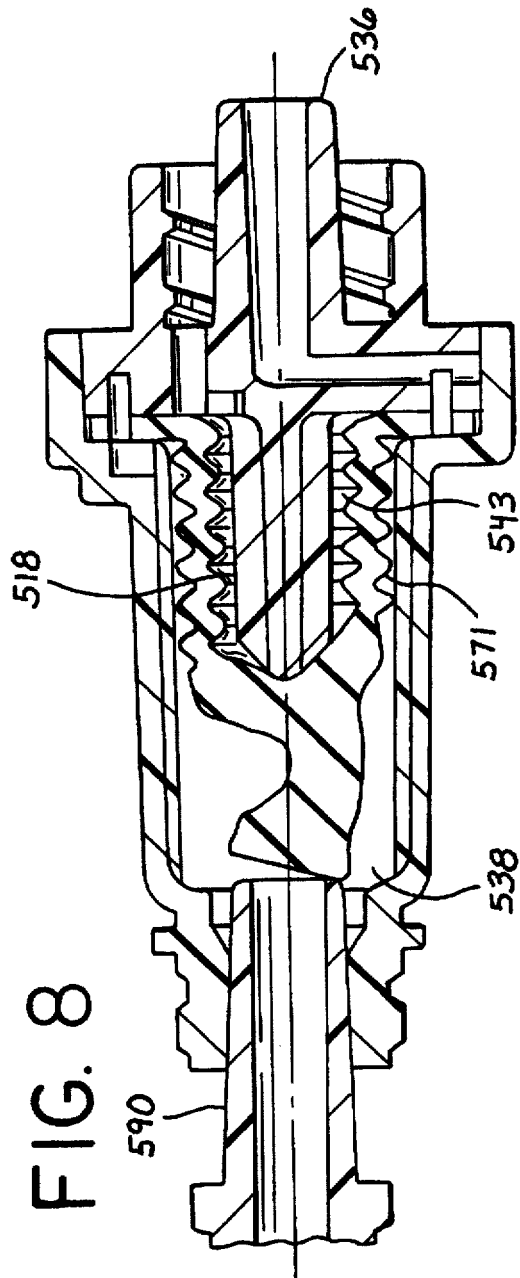
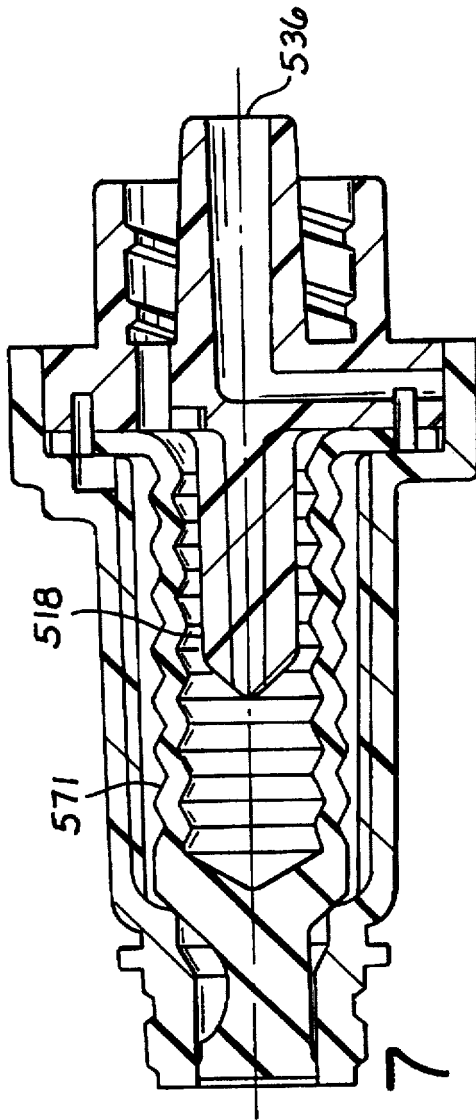


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## MINIMUM FLUID DISPLACEMENT MEDICAL CONNECTOR

### BACKGROUND OF THE INVENTION

#### 1. Field of the Invention

The present invention relates generally to devices for establishing connections in closed fluid systems where fluid displacement due to the connection process must be controlled and, more particularly, to devices for establishing connections to medical intravenous fluid lines for the purposes of adding fluids to or removing fluids from a patient's venous or arterial blood system, and for sampling or removing fluids from such fluid lines.

#### 2. Description of Related Art

Aseptic medical connections have been widely used in the prior art in connection with intravenous fluid lines, blood access, hemodialysis, peritoneal dialysis, enteral feeding, drug vial access, etc. The general standard for many such aseptic medical connections has been to puncture an elastomeric diaphragm or septum, which has one side in contact with the fluid, with a sharpened hollow hypodermic needle. The use of such hypodermic needles has been gradually decreasing in the prior art, as a result of both safety and cost considerations associated with infectious disease acquired from needle sticks.

A phenomenon referred to as fluid displacement can occur whenever a connection is made between two closed fluid systems. When a hypodermic needle is inserted into an intravenous fluid tubing through a rubber (latex) injection site, fluid displacement occurs. Because the intravenous fluid is incompressible, a volume of fluid equal to the needle volume is displaced out of the intravenous tubing and into the patient's blood vessel, when the hypodermic needle is inserted into the injection site. This displacement of fluid from the intravenous tubing into the patient's blood vessel is referred to as antegrade flow. Similarly, when the hypodermic needle is withdrawn, an equivalent volume of blood will be drawn back, usually through the catheter, into the intravenous tubing. This retrograde flow can be harmful when the blood drawn into the end of the catheter remains stagnant for a long period of time. The stagnant blood tends to settle, and may begin to clot, thereby restricting flow through the catheter and possibly requiring insertion of a new intravenous catheter into the patient.

The phenomenon of retrograde flow is known to sophisticated medical practitioners, who may deliberately attempt to balance the retrograde flow and displacement by using a syringe to squeeze a last bit of fluid through the needle as the needle is being withdrawn from the latex septum. The success of this method, however, is highly variable and technique-dependent and, in any event, can only be employed when the device being disconnected is a needle. Many connections are from male Luers on ends of other intravenous tubing sets. The fluid displacement phenomenon described above can also occur in any closed fluid system, medical or not, where part of one of the connectors displaces volume into the system as the connector is actuated during coupling.

In addition to fluid displacement problems associated with hypodermic needle access intravenous connectors, many medical needle-free access devices create fluid displacement during actuation. Needle-free access devices employing blunt cannula "actuators," which penetrate a pre-slit rubber septum, and needle-free access devices activated by insertion of standard male Luer nozzles, often suffer from fluid displacement during actuation. In each of these cases, the

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actuator typically displaces fluid as it is inserted into the connector, creating antegrade flow. Also, when the actuator is removed from the connector, a volume within the connector must then be replaced by fluid within the intravenous tubing. If there is no other source (such as from an infusion container via a Y-connector), the replacement fluid will likely be the patient's blood, which will flow into the intravenous catheter.

The volume of fluid displaced during actuation in a case where a male Luer nozzle is used as the actuator, will often be approximately equal to the volume of the male Luer nozzle penetrating into the connector when coupled. This volume can vary substantially, based upon device design, materials, and the tolerances allowed for both male and female Luers. Displacement volumes can be 100 microliters or higher. A fluid displacement on the order of 50 microliters, for example, may seem very small but, in fact, can be significant. For instance, the fluid volume inside a one inch long 22 gauge intravenous catheter is only 6 microliters, and the volume of a one and a quarter inch 18 gauge catheter is 23 microliters. The volume displaced by inserting a 20 gauge needle to a depth of one inch is 24 microliters. Based upon the above dimensions, a catheter may be filled with blood by simply withdrawing a needle from an injection site. A displacement-free medical connector, or a minimum fluid displacement medical connector, or a self-flushing medical connector would attenuate or completely alleviate many of the problems associated with these prior art medical connectors.

### SUMMARY OF THE INVENTION

The minimum displacement connector of the present invention includes an actuator and an internal chamber that is vented to the atmosphere. The present invention further includes a biased member that can be moved by the actuator to displace air out of the internal chamber while significant fluid is not displaced during actuation.

According to one feature of the present invention, a device for transferring fluid with minimum fluid displacement includes a valve internal chamber adapted for receiving an actuator therethrough for facilitating introduction of fluid into the valve internal chamber. A biased member abuts against either a compressible gas or an ambient atmosphere, and is adapted for being moved by the actuator. Movement of the biased member results in displacement of either the compressible gas or the ambient atmosphere to thereby offset a displacement of fluid in the valve internal chamber that was introduced by insertion of the actuator into the valve internal chamber. A valve outlet port is adapted for outputting fluid from the valve internal chamber. The valve outlet port is configured in fluid communication with the valve internal chamber at all times, and is adapted for allowing fluid to freely flow between the valve internal chamber and the valve outlet port.

According to another aspect of the present invention, a valve for transferring fluid includes an internal chamber that is in fluid communication with an outlet port. The internal chamber is adapted for accommodating an actuator therein. Insertion of the actuator into the internal chamber results in a positive fluid displacement within the internal chamber and, further, removal of the actuator from the internal chamber results in a negative fluid displacement within the internal chamber. The valve further includes an air chamber, and a biased member adapted for being moved into a portion of the air chamber upon insertion of the actuator and for being removed out of a portion of the air chamber upon

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removal of the actuator. Movement of the biased member into a portion of the air chamber results in a negative fluid displacement within the internal chamber which substantially compensates for the positive fluid displacement, and movement of the biased member out of a portion of the air chamber results in a positive fluid displacement within the internal chamber which substantially compensates for the negative fluid displacement, for a zero displacement within the internal chamber.

The biased member has a generally cylindrical shape and, according to one aspect of the present invention, includes a hollow, collapsible skirt which surrounds and defines the air chamber. Movement of the actuator into the internal chamber collapses the collapsible skirt and moves the biased member into a portion of the air chamber. According to another aspect of the present invention, a biasing member such as a spring can be disposed within the collapsible skirt, and movement of the actuator out of the internal chamber uncovers the collapsible skirt and moves the biased member out of a portion of the air chamber under forces exerted by the spring. In one configuration of the present invention, an axis of the internal chamber is substantially perpendicular to an axis of the outlet port, and in another configuration of the present invention the axis of the internal chamber is substantially parallel to the axis of the outlet port. The valve may include an internal strut disposed within the collapsible skirt, where movement of the actuator into the internal chamber collapses the collapsible skirt for a predetermined distance until a portion of the biased member contacts the internal strut.

According to another aspect of the present invention, the biased member includes a hollow passage connecting the internal chamber to the outlet port. The hollow passage is surrounded by the air chamber, and is adapted to expand into a portion of the air chamber when the actuator is inserted into the internal chamber. The hollow passage is further adapted to contract and move out of a portion of the air chamber when the actuator is removed from the internal chamber. The air chamber is configured in fluid communication with an ambient atmosphere, and movement of the biased member into a portion of the air chamber results in displacement of air within the air chamber out of the air chamber and into the ambient atmosphere. The biased member further includes an annular member adapted for being secured to a wall of the internal chamber. The annular member serves as a biasing means for contracting the hollow passage and moving the hollow passage out of the air chamber when the actuator is removed from the internal chamber.

According to yet another feature of the present invention, a minimum fluid displacement self-flushing connector includes a valve internal member, and a valve inlet port adapted for receiving an actuator. The actuator includes a lumen for introducing fluid through the valve inlet port and into the valve internal chamber. The minimum fluid displacement self-flushing connector further includes a valve outlet port, which is adapted for outputting fluid from the valve internal chamber. The valve outlet port is in fluid communication with the valve internal chamber at all times, and is adapted for allowing fluid to freely flow into and out of the valve internal chamber. The minimum fluid displacement self-flushing connector further includes an air chamber and a plug adapted for being moved into a portion of the air chamber when the actuator is moved into the valve internal chamber. Movement of the plug into the air chamber results in a minimum displacement of fluid through the valve outlet port when the actuator is moved into the valve internal

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chamber. A displacement of air within the air chamber, resulting from movement of the plug into a portion of the air chamber, is approximately equal to a displacement of fluid within the valve internal chamber, resulting from movement of the actuator into the valve internal chamber. The valve inlet port includes an inlet port axis, and the air chamber is centered about the inlet port axis. The plug has a generally cylindrical shape which is also generally centered about the inlet port axis, and the plug is adapted for being moved from an inlet port closed position to an inlet port open position.

The actuator is adapted for moving the plug into the inlet port open position, where a distal portion of the plug is moved into a portion of the air chamber. The proximal portion of the plug is adapted for being pushed by the actuator from the inlet port closed position out of the valve inlet port and into the inlet port open position where the distal portion of the plug is positioned within a portion of the air chamber. The minimum fluid displacement connector may also include a spring, and the plug is adapted for being pushed by the spring from the inlet port open position to the inlet port closed position. Movement of the actuator into the valve internal chamber roughly corresponds to movement of the distal portion of the plug into a portion of the air chamber. Movement of the actuator into the valve internal chamber results in a displacement of fluid within the valve internal chamber that is compensated by movement of portions of the plug out of the valve internal chamber to thereby generate an approximately zero displacement within the valve internal chamber. The plug includes a primary shoulder seal adapted for contacting a valve seal of the minimum fluid displacement connector when the plug is in the inlet port closed position.

The primary shoulder seal is removed from contact with the valve seat when the plug is moved from the inlet port closed position to the inlet port open position. A first portion of the primary shoulder seal contacts an inner surface of the valve internal chamber when the plug is in the inlet port open position, and a second portion of the primary shoulder seal does not contact the inner surface of the valve internal chamber when the plug is in the inlet port open position. The inlet port open position of the plug facilitates a flow of fluid from the valve inlet port into the valve internal chamber, and between the second portion of the primary shoulder seal and the inner surface of the valve internal chamber into the valve outlet port.

The present invention, together with additional features and advantages thereof, may best be understood by reference to the following description taken in connection with the accompanying illustrative drawings.

#### BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 illustrates a cross-sectional view of the minimum fluid displacement connector of the presently preferred embodiment in an unactuated configuration;

FIG. 2 illustrates a cross-sectional view of the minimum fluid displacement connector of the presently preferred embodiment in an actuated configuration;

FIG. 3 illustrates a minimum fluid displacement connector according to a first alternative embodiment;

FIGS. 4 illustrates a minimum fluid displacement connector according to a second alternative embodiment;

FIGS. 5 and 6 illustrate cross-sectional views of the minimum fluid displacement connector shown in FIG. 4;

FIGS. 7 and 8 illustrate cross-sectional views of a minimum fluid displacement connector according to a third alternative embodiment; and



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FIG. 9 illustrates a cross-sectional view of a minimum fluid displacement connector according to a fourth alternative embodiment of the present invention.

#### DETAILED DESCRIPTION OF THE PRESENTLY PREFERRED EMBODIMENTS

Turning to FIG. 1, a minimum fluid displacement connector 10 is illustrated in cross-sectional view. The minimum fluid displacement self-flushing connector 10 comprises a valve housing 12 and a rubber valve plug 14 disposed within the valve housing 12. As presently embodied, the valve housing 12 comprises a valve cap 16 and a valve base 18. The valve cap 16 is secured to the valve base 18 using conventional means, such as solvent bonding, ultrasonics, spin welding, etc., and comprises a valve inlet port 21, a valve throat 23, and a valve seat 25. The valve base 18 comprises spring bases 32, a valve distal cylinder 34, and a valve outlet port 36. A valve internal chamber 38 is formed between the valve base 18 and the valve cap 16, and a vented air chamber 43 is formed within the valve distal cylinder 34. The valve base 18 and the valve cap 16 may comprise either metal or plastic, for example.

The rubber valve plug 14 comprises a generally cylindrical shape for slidably fitting within the valve internal chamber 38 and the vented air chamber 43 of the valve housing 12. The rubber valve plug 14 comprises a plug proximal portion 47 and a plug distal portion 50. The positioning of the plug proximal portion 47 may be flush or, may be sub-flush requiring use of a cap. An axis of the rubber valve plug 14 is generally aligned with an axis 54 of the valve throat 23 and the valve distal cylinder 34. The rubber valve plug 14 comprises a plug primary shoulder seal 56 adapted for abutting against the valve seat 25 of the valve cap 16. The rubber valve plug 14 further comprises plug spring bases 61, which in combination with the plug primary shoulder seal 56 form an enlarged diameter portion 65 of the rubber valve plug 14. Located near the plug distal portion 50 is a plug biased member 67 for sealingly sliding within the valve distal cylinder 34. A plug cylindrical portion 70 is disposed between the plug spring base 61 and the plug biased member 67. A metal or plastic spring 74 fits between the spring base 32 of the valve housing 12 and the plug spring base 61. Other biasing means may be employed in addition to, or as an alternative to, the metal spring 74.

A reduced diameter portion 81 of the rubber valve plug 14 is not centered about the axis 54. The reduced diameter portion 81 is presently configured to facilitate a clockwise pivoting action of the plug proximal portion 47 about the reduced diameter portion 81, when a force is applied to the plug proximal portion 47 in a direction toward the plug distal portion 50. (See FIG. 2.) An outlet port 36 of the valve housing 12 comprises an outlet port axis 85 that can be generally perpendicular or in line (parallel) to the axis 54.

Broadly speaking, the general concept of the minimum fluid displacement or self-flushing connector 10 of the presently preferred embodiment is to transfer fluid between the valve inlet port 21 and the valve outlet port 36. The plug primary shoulder 56 of the rubber valve plug 14 prevents fluid flow between the valve inlet port 21 and the valve outlet port 36, in the inlet port closed configuration. Further, in this inlet port closed configuration, the valve inlet port 21 is sealed from the valve internal chamber 38, but the valve internal chamber 38 is not sealed from fluid communication with the valve outlet port 36.

Turning to FIG. 2, an actuator 90 can be inserted into the valve inlet port 21 to thereby push the plug proximal portion

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47 in the direction of the plug distal portion 50. The actuator 90 comprises a male Luer nozzle in the presently preferred embodiment. The actuator 90 pushes the plug proximal portion 47 out of the valve throat 23 and, simultaneously, causes the plug proximal portion 47 to slightly rotate relative to the reduced diameter portion 81 in the clockwise direction, as presently embodied. This slight rotation of the plug proximal portion 47, coupled with a general migration of both the plug proximal portion 47 and the reduced diameter portion 81 away from the axis 54 in a direction toward the valve outlet port 36, results in a portion of the enlarged diameter portion 65 located generally opposite the valve outlet port 36 moving away from an internal wall 107 of the valve internal chamber 38. Fluid can then be introduced through the lumen 110 of the actuator 90 and into the internal valve chamber 38. Further, fluid is able to move between the enlarged diameter portion 65 of the rubber valve plug 14 and the wall 107 of the valve internal chamber 38 and, subsequently, into the valve outlet port 36. Thus, introduction of the actuator 90 through the valve inlet port 21 results in the establishment of a fluid flow path between the valve inlet port 21 and the valve outlet port 36.

The actuator 90 is inserted through both the valve inlet port 21 and the valve throat 23 against a bias of the metal or plastic spring 74. When the actuator 90 is removed from the valve inlet port 21, the spring 74 biases the elastomeric valve plug 14 back through the valve throat 23 and into the valve inlet 21. Curved guiding surfaces 118 help to facilitate this process of generally realigning the plug proximal portion 47 about the axis 54.

Introduction of the actuator 90 through the valve throat 23 and into the valve internal chamber 38 introduces a positive fluid displacement, which, if not compensated, results in an antegrade flow of fluid out of the valve outlet port 36. The slidable elastomeric valve plug 14 of the present invention is adapted for introducing a negative fluid displacement within the valve internal chamber 38, to thereby compensate for the positive fluid displacement introduced by the insertion of the male Luer nozzle 90. More particularly, as the elastomeric valve plug 14 is pushed in the direction of the plug distal portion 50 by the actuator 90, the plug cylindrical distal portion 70 is moved out of the valve internal chamber 38, to thereby generate a negative fluid displacement within the valve internal chamber 38. The plug cylindrical distal portion 70 is moved into the vented air chamber 43 to thereby effectively transfer the fluid displacement introduced by the actuator 90 into the ambient atmosphere.

Although the vented air chamber 43 is provided in fluid communication with the ambient atmosphere in the presently preferred embodiment, the air chamber 43 may, alternatively, be sealed. If the air chamber 43 is sealed, movement of the plug cylindrical distal portion 70 into a portion of the air chamber 43 results in compression of the gases within the air chamber 43 to thereby yield similar results.

Similarly, as the actuator 90 is removed from the valve inlet port 21, a negative fluid displacement is generated within the valve internal chamber 38 and the valve throat 23. The spring 74, however, biases the elastomeric valve plug 14 back into the valve inlet port 21 to thereby generate a positive or neutral fluid displacement within the valve internal chamber 38. The positive/neutral fluid displacement generated by movement of a portion of the elastomeric valve plug 14 back into the valve internal chamber 38 compensates for the negative fluid displacement generated by removal of the actuator 90 from the valve internal chamber 38. In the presently preferred embodiment, the diameter of

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the plug cylindrical distal portion 70 is configured to be approximately equal (or proportional) to the diameter of the actuator 90, to thereby yield very close compensating displacements between the two devices. If the diameters are changed, flushing can be achieved.

If the volume of the plug cylindrical distal portion 70 is slightly greater than the volume of the actuator 90, a small amount of retrograde flow will be created during insertion of the actuator 90 and, subsequently, antegrade (self-flushing) flow will be produced during removal of the actuator 90. This antegrade flow (self-flushing) produced during removal of the actuator 90 can be considered a desirable feature.

A first alternative embodiment of the present invention is illustrated in FIG. 3, where similar elements are numbered as in FIGS. 1 and 2, but preceded by a 3. In the alternative embodiment illustrated in FIG. 3, both the spring 74 and the plug cylindrical distal portion 70 are eliminated. The biasing force and valve return force of this embodiment are facilitated by a plug collapsible skirt 371, which is preferably integrally formed with the plug 314. The vented air chamber 343 is formed within the plug collapsible skirt 371 and the valve base 318. As the plug proximal portion 347 is pushed out of the valve throat 323 by an actuator (not shown), the plug collapsible skirt 371 is collapsed somewhat in a direction toward the valve base 318. As the plug collapsible skirt 371 is collapsed, air is displaced out of the vent aperture 344. This displacement of air through the vent aperture 344 compensates for displacement resulting from introduction of the actuator into the valve internal chamber 338. The configuration of FIG. 3 eliminates any potential problems which may be associated with the plug biased member 67 of FIG. 1.

A second alternative embodiment is illustrated in FIGS. 4-6, where a spring 474 is provided within the plug collapsible skirt 471. Operation of this second alternative embodiment is similar to the operation of the embodiment of FIG. 3, with the exception of an additional biasing force facilitated by the spring 474. Another feature of the second alternative embodiment, which may be provided in any of the other embodiments of the present invention as well, is a distally located valve outlet port 436. An outlet port axis 485 of the valve outlet port 436 is substantially aligned with an axis 454 of the valve housing 412. The spring 474 of this embodiment may act as a rib cage to thereby limit the collapse of the plug collapsible skirt 471 under high fluid pressure.

The fluid path of this second alternative embodiment, when the system is actuated, is from the valve inlet port 421, through the valve throat 423, between the enlarged diameter portion 465 and the wall 407 of the valve internal chamber 438, through the U-shaped passage 466, and out of the valve outlet port 436. Air within the air chamber 443 may simply be compressed or, alternatively, may be vented to the ambient atmosphere upon actuation of the system. FIG. 5 illustrates a bottom view of the valve cap 416, and FIG. 6 illustrates a top view of the valve base 418. In the top view of the valve base 418, an internal strut 419 comprises an "X" configuration, and four vent ports 421 are provided for venting air from the air chamber 443 into the ambient atmosphere.

FIGS. 7 and 8 illustrate a third alternative embodiment of the present invention, which is similar to the embodiment of FIGS. 1 and 2. The third alternative embodiment of the present invention, however, comprises a plug collapsible skirt 571, an internal strut 518, and a distally located valve outlet port 536. As shown in FIG. 8, the internal strut 518

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limits the collapse of the plug collapsible skirt 571 under high pressure. When the actuator 590 is inserted into the valve internal chamber 538 a positive fluid displacement is generated within the valve internal chamber 538. The plug collapsible skirt 571 collapses in a direction toward the valve outlet port 536 to thereby generate a compensating negative fluid displacement within the valve internal chamber 538. As the plug collapsible skirt 571 collapses and decreases the size of the air chamber 543, the air within the air chamber 543 is either compressed or vented to the ambient atmosphere.

A fourth alternative embodiment of the present invention is illustrated in FIG. 9, where the rubber valve plug 614 comprises a plug hollow passage 616. The plug hollow passage 616 connects the valve outlet port 636 to the valve internal chamber 638. A valve vented air chamber 643 generally surrounds the plug hollow passage 616 of the rubber valve plug 614. When the device is actuated by insertion of an actuator (not shown) through the valve inlet port 621, the enlarged diameter portion 665 of the rubber valve plug 614 is moved generally in the direction of the valve outlet port 636. A plug annular member 637, however, resists this movement and provides return biasing action to the rubber valve plug 614 when the actuator is removed from the valve inlet port 621. The plug annular member 637 comprises thicker portions 639 and thinner portions 640. The thicker portions 639 provide stronger biasing effects than the thinner portions 640. As the actuator is inserted into the valve inlet port 621, the valve vented air chamber 643 contracts, as a result of the first and second portions 639 and 640 of the plug annular member 637, and the movement of the rubber valve plug 614 toward the valve outlet port 636. The positive fluid displacement introduced by insertion of the actuator through the valve inlet port 621 is thus countered by venting of air from the valve vented air chamber 643 through the vent aperture 646. The rubber valve plug 614 of this embodiment thus contains a plug hollow passage 616, which is somewhat centrally located and sealed from the valve vented air chamber 643 by assembled elements of the housing. These assembled elements of the housing may be joined by solvent bonding, ultrasonic welding, snap-fitting etc. As an alternative to using air in the valve vented air chamber 643, other gases may also be used. These other gases may be vented or compressible, as long as they facilitate compensating movement of the rubber valve plug 614 in response to insertion of the actuator into the device.

Although the above embodiments have been described in the context of medical devices, the principles of the present invention apply to any other valve connectors where it is desired to minimize fluid displacement as a result of valve actuation. Although exemplary embodiments of the invention have been shown and described, many other changes, modifications and substitutions, in addition to those set forth in the above paragraphs, may be made by one having ordinary skill in the art without necessarily departing from the spirit and scope of this invention.

We claim:

1. A minimum fluid displacement connector, comprising:
  - a valve internal chamber having a proximal chamber end and a distal chamber end;
  - a valve inlet port adapted for receiving an actuator, the actuator having a lumen for introducing fluid through the valve inlet port and into the valve internal chamber;
  - a valve outlet port adapted for outputting fluid from the valve internal chamber, the valve outlet port being in fluid communication with the distal chamber end of the

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valve internal chamber at all times and being adapted for allowing fluid to freely flow into and out of the distal chamber end of the valve internal chamber through the valve outlet port;

an air chamber; and

a plug adapted for being moved into a portion of the air chamber when the actuator is moved into the valve inlet port, movement of the plug into a portion of the air chamber resulting in a minimum displacement of fluid through the valve outlet port when the actuator is moved into the valve inlet port.

2. The minimum fluid displacement connector as recited in claim 1, the air chamber being in fluid communication with an ambient atmosphere, and movement of the plug into the air chamber resulting in movement of air out of the air chamber and into the ambient atmosphere.

3. The minimum fluid displacement connector as recited in claim 1, a displacement of air within the air chamber, resulting from movement of the plug into a portion of the air chamber, being approximately equal to or greater than a displacement of fluid within the valve internal chamber, resulting from movement of the actuator into the valve internal chamber.

4. The minimum fluid displacement connector as recited in claim 3, the valve inlet port having an inlet port axis, the air chamber being centered about the inlet port axis, the plug having a generally cylindrical shape which is also generally centered about the inlet port axis, and the plug being adapted for being moved from an inlet-port-closed position to an inlet-port-open position.

5. The minimum fluid displacement connector as recited in claim 4, the plug being adapted for being moved by the actuator from the inlet-port-closed position to the inlet-port-open position.

6. The minimum fluid displacement connector as recited in claim 5, the inlet-port-open position orienting a distal portion of the plug within a portion of the air chamber.

7. The minimum fluid displacement connector as recited in claim 6, the proximal portion of the plug being adapted for being pushed by the actuator from the inlet-port-closed position out of the valve inlet port and into the inlet-port-open position where the distal portion of the plug is positioned within a portion of the air chamber.

8. The minimum fluid displacement connector as recited in claim 7, further comprising a spring, the plug being adapted for being pushed by the spring from the inlet-port-open position back to the inlet-port-closed position.

9. The minimum fluid displacement connector as recited in claim 7, movement of the actuator into the valve internal chamber roughly corresponding to movement of the distal portion of the plug into a portion of the air chamber, the movement of the actuator into the valve internal chamber resulting in a displacement of fluid within the valve internal chamber that is compensated by movement of portions of the plug out of the valve internal chamber to thereby generate an approximately zero displacement within the valve internal chamber.

10. The minimum fluid displacement connector as recited in claim 9, the plug comprising a primary shoulder seal adapted for contacting a valve seat of the minimum fluid displacement connector when the plug is in the inlet-port-closed position, the primary shoulder seal being removed from contact with the valve seat when the plug is moved from the inlet-port-closed position to the inlet-port-open position.

11. The minimum fluid displacement connector as recited in claim 10, a first portion of the primary shoulder seal

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contacting an inner surface of the valve internal chamber when the plug is in the inlet-port-open position, and a second portion of the primary shoulder seal not contacting the inner surface of the valve internal chamber when the plug is in the inlet-port-open position.

12. The minimum fluid displacement connector as recited in claim 11, the inlet-port-open position of the plug facilitating a flow of fluid from the valve inlet port into the valve internal chamber, and between the second portion of the primary shoulder seal and the inner surface of the valve internal chamber into the valve outlet port, and out of the valve outlet port.

13. The minimum fluid displacement connector as recited in claim 9, a portion of the plug no longer being centered about the inlet port axis when the plug is in the inlet-port-open position, whereby fluid can pass from the valve inlet port, around a portion of the plug, and into the valve outlet port, when the plug is in the inlet-port-closed position.

14. The minimum fluid displacement connector as recited in claim 13, the valve outlet port having an outlet port axis that is substantially perpendicular to the inlet port axis.

15. The minimum fluid displacement connector as recited in claim 13, the valve outlet port having an outlet port axis that is substantially parallel to the inlet port axis.

16. A valve for transferring fluid with minimum fluid displacement, comprising:

a valve internal chamber having a proximal chamber end and a distal chamber end, and adapted for receiving an actuator therethrough for facilitating introduction of fluid into the valve internal chamber;

a biased member abutting against one of a compressible gas and an ambient atmosphere, and adapted for being moved by the actuator, movement of the biased member resulting in displacement of one of the compressible gas and the ambient atmosphere to offset a displacement of fluid in the valve internal chamber that was introduced by insertion of the actuator into the valve internal chamber; and

a valve outlet port adapted for outputting fluid from the valve internal chamber, the valve outlet port being in fluid communication with the distal chamber end of the valve internal chamber at all times and being adapted for allowing fluid to freely flow between the distal chamber end of valve internal chamber and the valve outlet port.

17. A valve for transferring fluid, comprising:

an internal chamber that is in fluid communication with an outlet port, the internal chamber being adapted for accommodating an actuator therein, insertion of the actuator into the internal chamber placing the actuator into fluid communication with both the outlet port and substantially all of the internal chamber and resulting in a positive fluid displacement within the internal chamber and removal of the actuator from the internal chamber resulting in a negative fluid displacement within the internal chamber;

an air chamber; and

a biased member adapted for being moved into a portion of the air chamber upon insertion of the actuator and for being moved out of a portion of the air chamber upon removal of the actuator, movement of the biased member into a portion of the air chamber resulting in a negative fluid displacement within the internal chamber which substantially compensates for the positive fluid displacement, and movement of the biased member out of a portion of the air chamber resulting in a positive



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fluid displacement within the internal chamber which substantially compensates for the negative fluid displacement, for a zero displacement within the internal chamber.

18. The valve as recited in claim 17, the biased member comprising a generally cylindrical member.

19. The valve as recited in claim 18, the generally cylindrical member comprising a hollow, collapsible skirt which surrounds and defines the air chamber, movement of the actuator into the internal chamber collapsing the collapsible skirt and moving the biased member into a portion of the air chamber.

20. The valve as recited in claim 19, further comprising a spring disposed within the collapsible skirt, movement of the actuator out of the internal chamber uncollapsing the collapsible skirt and moving the biased member out of a portion of the air chamber under forces exerted by the spring.

21. The valve as recited in claim 20, an axis of the internal chamber being substantially perpendicular to an axis of the outlet port.

22. The valve as recited in claim 20, an axis of the internal chamber being substantially parallel to an axis of the outlet port.

23. The valve as recited in claim 19, further comprising an internal strut disposed within the collapsible skirt, movement of the actuator into the internal chamber collapsing the collapsible skirt for a predetermined distance until a portion of the biased member contacts the internal strut.

24. The valve as recited in claim 17, the biased member comprising a hollow passage connecting the internal chamber to the outlet port, the hollow passage being surrounded by the air chamber and expanding into a portion of the air chamber when the actuator is inserted into the internal chamber, the hollow passage further contracting and moving out of a portion of the air chamber when the actuator is removed from the internal chamber.

25. The valve as recited in claim 17, the air chamber being in fluid communication with an ambient atmosphere, and movement of the biased member into a portion of the air chamber resulting in displacement of air within the air chamber out of the air chamber and into the ambient atmosphere.

26. The valve as recited in claim 17, the biased member further comprising an annular member adapted for being secured to a wall of the internal chamber, the annular member serving as a biasing means for contracting the hollow passage and moving the hollow passage out of the air chamber when the actuator is removed from the internal chamber.

27. A self-flushing connector, comprising:

a valve internal chamber;

a valve inlet port adapted for receiving an actuator, the actuator having a lumen for introducing fluid through the valve inlet port and into the valve internal chamber;

a valve outlet port adapted for outputting fluid from the valve internal chamber, the valve outlet port being in fluid communication with the valve internal chamber at all times and being adapted for allowing fluid to freely flow into and out of the valve internal chamber through the valve outlet port at all times;

an air chamber; and

a plug adapted for being moved into a portion of the air chamber when the actuator is moved into the valve internal chamber and for being moved out of a portion of the air chamber when the actuator is removed from

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the valve internal chamber, movement of the actuator into the valve internal chamber resulting in a relatively small movement of fluid through the valve outlet port and into the valve internal chamber, and movement of the plug out of the valve internal chamber resulting in a relatively small movement of fluid through the valve outlet port and out of the valve internal chamber.

28. A self-flushing connector, comprising:

a valve inlet port adapted for receiving an actuator in an inward direction into the valve inlet port, the actuator having a lumen for introducing fluid through the valve inlet port in the inward direction;

a valve outlet port adapted for transferring fluid in one of a first direction out of the self-flushing connector and a second direction into the self-flushing connector; and displacing means adapted for providing displacements of fluid within the self-flushing connector, the displacing means effecting a relatively small movement of fluid through the valve outlet port in the second direction in response to movement of the actuator in the inward direction, and the displacing means effecting a relatively small movement of fluid through the valve outlet port in the first direction in response to movement of the actuator in an outward direction opposite to the inward direction.

29. A minimum fluid displacement connector, comprising:

a valve internal chamber;

a valve inlet port adapted for receiving an actuator, the actuator having a lumen for introducing fluid through the valve inlet port and into the valve internal chamber;

a valve outlet port adapted for outputting fluid from the valve internal chamber, the valve outlet port being in an unobstructed fluid communication with the valve internal chamber at all times and being adapted for allowing fluid to freely flow into and out of the valve internal chamber through the valve outlet port;

an air chamber; and

a plug adapted for being moved into a portion of the air chamber when the actuator is moved into the valve inlet port, movement of the plug into a portion of the air chamber resulting in a minimum displacement of fluid through the valve outlet port when the actuator is moved into the valve inlet port.

30. A minimum fluid displacement connector, comprising:

a valve internal chamber having a volume;

a valve inlet port adapted for receiving an actuator, the actuator having a lumen for introducing fluid through the valve inlet port and into the valve internal chamber;

a valve outlet port adapted for outputting fluid from the valve internal chamber, the valve outlet port being in fluid communication with substantially all of the volume of the valve internal chamber at all times and being adapted for allowing fluid to freely flow into and out of substantially all of the volume of the valve internal chamber through the valve outlet port;

an air chamber; and

a plug adapted for being moved into a portion of substantially all of the volume of the air chamber when the actuator is moved into the valve inlet port, movement of the plug into a portion of the air chamber resulting in a minimum displacement of fluid through the valve outlet port when the actuator is moved into the valve inlet port.

31. A valve for transferring fluid with minimum fluid displacement, comprising:

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- a valve internal chamber having a volume and adapted for receiving an actuator therethrough for facilitating introduction of fluid into the valve internal chamber;
- a biased member abutting against one of a compressible gas and an ambient atmosphere, and adapted for being moved by the actuator, movement of the biased member resulting in displacement of one of the compressible gas and the ambient atmosphere to offset a displacement of fluid in the valve internal chamber that was introduced by insertion of the actuator into the valve internal chamber; and
- a valve outlet port adapted for outputting fluid from the valve internal chamber, the valve outlet port being in fluid communication with substantially all of the volume of the valve internal chamber at all times and being adapted for allowing fluid to freely flow between substantially all of the volume of the valve internal chamber and the valve outlet port.
32. A valve for transferring fluid with minimum fluid displacement, comprising:

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- a valve internal chamber adapted for receiving an actuator therethrough for facilitating introduction of fluid into the valve internal chamber;
- a biased member abutting against one of a compressible gas and an ambient atmosphere, and adapted for being moved by the actuator, movement of the biased member resulting in displacement of one of the compressible gas and the ambient atmosphere to offset a displacement of fluid in the valve internal chamber that was introduced by insertion of the actuator into the valve internal chamber; and
- a valve outlet port adapted for outputting fluid from the valve internal chamber, the valve outlet port being in an unobstructed fluid communication with the valve internal chamber at all times and being adapted for allowing fluid to freely flow between the valve internal chamber and the valve outlet port at all times.

\* \* \* \* \*



UNITED STATES PATENT AND TRADEMARK OFFICE  
**CERTIFICATE OF CORRECTION**

PATENT NO. : 5,730,418 C1  
APPLICATION NO. : 90/006177  
DATED : April 11, 2006  
INVENTOR(S) : Feith et al.

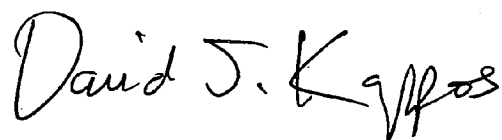
Page 1 of 1

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Column 8, line 27, remove the “,” between “A self-flushing connector” and “comprising”  
Column 10, line 11, insert the word --out-- between “valve outlet port” and “of the self-flushing connector”  
Column 10, line 48, insert the word --the-- between “is removed from” and “valve inlet port”

Signed and Sealed this

Twenty-fourth Day of August, 2010

A handwritten signature in black ink that reads "David J. Kappos". The signature is written in a cursive, flowing style.

David J. Kappos  
*Director of the United States Patent and Trademark Office*



US005730418C1

(12) **EX PARTE REEXAMINATION CERTIFICATE (5331st)****United States Patent**  
**Feith et al.**(10) **Number:** **US 5,730,418 C1**  
(45) **Certificate Issued:** **Apr. 11, 2006**(54) **MINIMUM FLUID DISPLACEMENT  
MEDICAL CONNECTOR**

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**David L. Ludwig**, San Juan Capistrano,  
CA (US); **Timothy L. Truitt**, Orange,  
CA (US)(73) Assignee: **Porex Medical Products, Inc.**, Ontario,  
CA (US)

\* cited by examiner

**Reexamination Request:**

No. 90/006,177, Dec. 27, 2001

*Primary Examiner*—John Bastianelli**Reexamination Certificate for:**

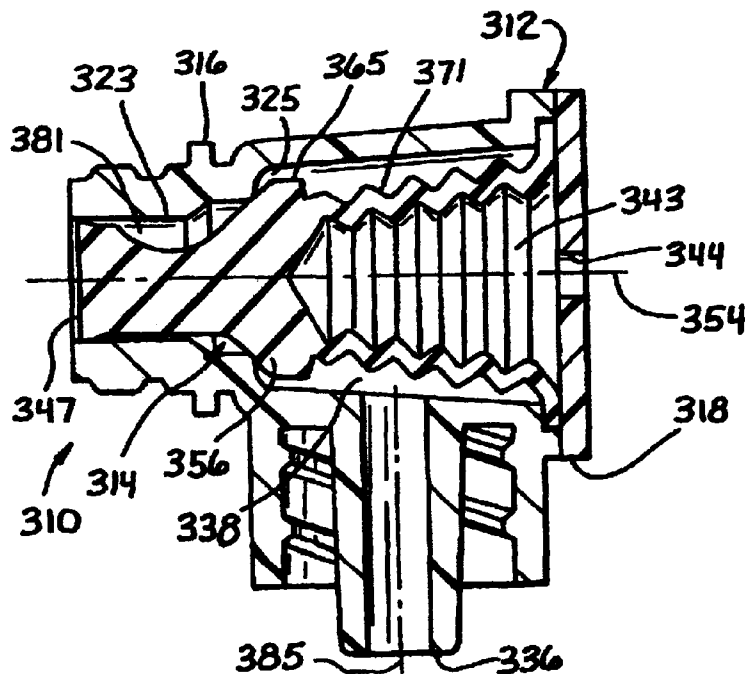
Patent No.: **5,730,418**  
 Issued: **Mar. 24, 1998**  
 Appl. No.: **08/724,180**  
 Filed: **Sep. 30, 1996**

(57) **ABSTRACT**

A device for transferring fluid with minimum fluid displacement includes a valve internal chamber adapted for receiving an actuator therethrough for facilitating introduction of fluid into the valve internal chamber. A biased member abuts against either a compressible gas or an ambient atmosphere, and is adapted for being moved by the actuator. Movement of the biased member results in displacement of either the compressible gas or the ambient atmosphere to thereby offset a displacement of fluid in the valve internal chamber that was introduced by insertion of the actuator into the valve internal chamber. A valve outlet port is adapted for outputting fluid from the valve internal chamber. The valve outlet port is configured in fluid communication with the valve internal chamber at all times, and is adapted for allowing fluid to freely flow between the valve internal chamber and the valve outlet port.

(51) **Int. Cl.**  
**F16L 37/28** (2006.01)(52) **U.S. Cl.** ..... 251/149.6; 251/149.1;  
604/256; 604/905(58) **Field of Classification Search** ..... 251/149.6,  
251/149.1; 604/256, 905  
See application file for complete search history.(56) **References Cited****U.S. PATENT DOCUMENTS**

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**1**  
**EX PARTE**  
**REEXAMINATION CERTIFICATE**  
**ISSUED UNDER 35 U.S.C. 307**

THE PATENT IS HEREBY AMENDED AS  
INDICATED BELOW.

**Matter enclosed in heavy brackets [ ] appeared in the patent, but has been deleted and is no longer a part of the patent; matter printed in italics indicates additions made to the patent.**

ONLY THOSE PARAGRAPHS OF THE  
SPECIFICATION AFFECTED BY AMENDMENT  
ARE PRINTED HEREIN.

Column 5, line 66 to column 6, line 22:

Turning to FIG. 2, an actuator 90 can be inserted into the valve inlet port 21 to thereby push the plug proximal portion 47 in the direction of the plug distal portion 50. *As shown in FIGS. 1 and 2, plug proximal portion 47 is not slitted, in contrast to prior art connectors having a slit septum. Accordingly, when actuator 90 is inserted, it enters the connector by displacing the plug proximal portion rather than by passing through a slit in the plug.* The actuator 90 comprises a male Luer nozzle in the presently preferred embodiment. The actuator 90 pushes the plug proximal portion 47 out of the valve throat 23 and, simultaneously, causes the plug proximal portion 47 to slightly rotate relative to the reduced diameter portion 81 in the clockwise direction, as presently embodied. This slight rotation of the plug proximal portion 47, coupled with a general migration of both the plug proximal portion 47 and the reduced diameter portion 81 away from the axis 54 in a direction toward the valve outlet port 36, results in a portion of the enlarged diameter portion 65 located generally opposite the valve outlet port 36 moving away from an internal wall 107 of the valve internal chamber 38. Fluid can then be introduced through the lumen 110 of the actuator 90 and into the internal valve chamber 38. Further, fluid is able to move between the enlarged diameter portion 65 of the rubber valve plug 14 and the wall 107 of the valve internal chamber 38 and, subsequently, into the valve outlet port 36. Thus, introduction of the actuator 90 through the valve inlet port 21 results in the establishment of a fluid flow path between the valve inlet port 21 and the valve outlet port 36.

Column 6, lines 31–47:

Introduction of the actuator 90 through the valve throat 23 and into the valve internal chamber 38 introduces a positive fluid displacement, which, if not compensated, results in an antegrade flow of fluid out of the valve outlet port 36. The slidable elastomeric valve plug 14 of the present invention is adapted for introducing a negative fluid displacement within the valve internal chamber 38, to thereby compensate for the positive fluid displacement introduced by the insertion of the male Luer nozzle 90. More particularly, as the elastomeric valve plug 14 is pushed in the direction of the plug distal portion 50 by the actuator 90, the plug cylindrical distal portion 70 is moved out of the valve internal chamber 38, to thereby generate a negative fluid displacement within the valve internal chamber 38. The plug cylindrical distal portion 70 is moved into the vented air chamber 43 to thereby effectively transfer the fluid displacement introduced by the actuator 90 into the ambient atmosphere. As

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*shown in FIGS. 1 and 2, plug 14 comprises a side surface that is exposed to fluid introduced into the valve internal chamber by actuator 90 and a distal portion 50 comprising a surface that is not exposed to fluid introduced into the valve internal chamber but is exposed to air chamber 43.*

Column 7, lines 13–32:

A first alternative embodiment of the present invention is illustrated in FIG. 3, where similar elements are numbered as in FIGS. 1 and 2, but preceded by a 3. *In contrast to the embodiment illustrated in FIGS. 1 and 2, where the biasing force and valve return force are provided by spring 74 and plug cylindrical distal portion 70 is not collapsible and moves into vented air chamber 43 to compensate for fluid displacement caused by introduction of the actuator into the valve internal chamber 38, in the alternative embodiment illustrated in FIG. 3, both the spring 74 and the plug cylindrical distal portion 70 are eliminated. The biasing force and valve return force of this embodiment are facilitated by a plug collapsible skirt 371, which is preferably integrally formed with the plug 314. The vented air chamber 343 is formed within the plug collapsible skirt 371 and the valve base 318. As the plug proximal portion 347 is pushed out of the valve throat 323 by an actuator (not shown), the plug collapsible skirt 371 is collapsed somewhat in a direction toward the valve base 318. As the plug collapsible skirt 371 is collapsed, air is displaced out of the vent aperture 344. This displacement of air through the vent aperture 344 compensates for displacement resulting from introduction of the actuator into the valve internal chamber 338. The configuration of FIG. 3 eliminates any potential problems which may be associated with the plug biased member 67 of FIG. 1.*

AS A RESULT OF REEXAMINATION, IT HAS BEEN DETERMINED THAT:

The patentability of claim 28 is confirmed.

Claims 1–3, 5, 6, 16–27 and 29–32 are determined to be patentable as amended.

Claims 4 and 7–15, dependent on an amended claim, are determined to be patentable.

New claims 33–77 are added and determined to be patentable.

1. A minimum fluid displacement connector, comprising:  
a valve internal chamber having a proximal chamber end and a distal chamber end;  
a valve inlet port adapted for receiving an actuator, the actuator having a lumen for introducing fluid through the valve inlet port and into the valve internal chamber;  
a valve outlet port adapted for outputting fluid from the valve internal chamber, the valve outlet port being in fluid communication with the distal chamber end of the valve internal chamber at all times and being adapted for allowing fluid to freely flow into and out of the distal chamber end of the valve internal chamber through the valve outlet port;  
a valve housing with an inner surface that defines at least in part an air chamber; and  
a plug with a proximal portion and a distal portion, the distal portion of the plug being non-collapsible, the

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*plug forming a slidable seal with the inner surface of the valve housing and being adapted for [being moved into a portion of] movement relative to the air chamber when the actuator is moved into the valve inlet port, the movement of the plug [into a portion of] relative to the air chamber resulting in a minimum displacement of fluid through the valve outlet port when the actuator is moved into the valve inlet port.*

2. The minimum fluid displacement connector as recited in claim 1, the air chamber being in fluid communication with an ambient atmosphere, and the movement of the *distal portion of the plug* into the air chamber resulting in movement of air out of the air chamber and into the ambient atmosphere.

3. The minimum fluid displacement connector as recited in claim 1, a displacement of air within the air chamber, resulting from *the movement of the distal portion of the plug* into a portion of the air chamber, being approximately equal to or greater than a displacement of fluid within the valve internal chamber, resulting from movement of the actuator into the valve internal chamber.

5. The minimum fluid displacement connector as recited in claim 4, the plug being adapted for being moved by the actuator from the inlet-port-closed position to the inlet-port-open position, *the proximal portion of the plug comprising a generally planar surface that is disposed in a non-perpendicular relationship with the inlet port axis when the plug is in the inlet-port-open position.*

6. The minimum fluid displacement connector as recited in claim 5, the inlet-port-open position orienting [a] the distal portion of the plug within a portion of the air chamber.

16. A valve for transferring fluid with minimum fluid displacement, comprising:

*a valve housing having an inner surface;*

*a valve internal chamber defined within the valve housing and having a proximal chamber end and a distal chamber end, and adapted for receiving an actuator therethrough for facilitating introduction of fluid into the valve internal chamber;*

*a biased member abutting against one of a compressible gas and an ambient atmosphere, and adapted for being moved by the actuator, the biased member comprising a non-slitted biased member proximal portion, movement of the biased member resulting in displacement of one of the compressible gas and the ambient atmosphere to offset a displacement of fluid in the valve internal chamber that was introduced by insertion of the actuator into the valve internal chamber, the biased member comprising a distal sealing member that slidably engages the inner surface of the valve housing; and*

*a valve outlet port adapted for outputting fluid from the valve internal chamber, the valve outlet port being in fluid communication with the distal chamber end of the valve internal chamber at all times and being adapted for allowing fluid to freely flow between the distal chamber end of valve internal chamber and the valve outlet port.*

17. A valve for transferring fluid, comprising:  
*an inlet port defining an axis;*

*an internal chamber that is in fluid communication with an outlet port, the internal chamber being adapted for accommodating an actuator therein, insertion of the actuator into the internal chamber placing the actuator into fluid communication with both the outlet port and substantially all of the internal chamber and resulting in*

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*a positive fluid displacement within the internal chamber and removal of the actuator from the internal chamber resulting in a negative fluid displacement within the internal chamber;*

*an air chamber; and*

*a biased member adapted for being moved from a first position into a portion of the air chamber to a second position upon insertion of the actuator and for being moved from the second position out of a portion of the air chamber to the first position upon removal of the actuator, movement of the biased member [into a portion of the air chamber] from the first position to the second position resulting in a negative fluid displacement within the internal chamber which substantially compensates for the positive fluid displacement, and movement of the biased member [out of a portion of the air chamber] from the second position to the first position resulting in a positive fluid displacement within the internal chamber which substantially compensates for the negative fluid displacement, for a zero displacement within the internal chamber;*

*wherein the biased member comprises a biased member proximal portion with a generally planar surface that is disposed in a non-perpendicular relationship with the axis when the biased member is in the second position.*

18. The valve as recited in claim 17, the biased member comprising *a biased member distal portion having a generally cylindrical member.*

21. The valve as recited in claim 20, [an] the axis of the [internal chamber] inlet port being substantially perpendicular to an axis of the outlet port.

22. The valve as recited in claim 20, [an] the axis of the [internal chamber] inlet port being substantially parallel to an axis of the outlet port.

24. The valve as recited in claim 17, the biased member [comprising] defining in part a hollow passage connecting the internal chamber to the outlet port, the hollow passage being surrounded by the air chamber and expanding into a portion of the air chamber when the actuator is inserted into the internal chamber, the hollow passage further contracting and moving out of a portion of the air chamber when the actuator is removed from the internal chamber.

26. The valve as recited in claim [17, the biased member] 24, further comprising an annular member adapted for being secured to a wall of the internal chamber, the annular member serving as a biasing means for contracting the hollow passage and moving the hollow passage out of the air chamber when the actuator is removed from the internal chamber.

27. A self-flushing connector, comprising:

*a valve internal chamber;*

*a valve inlet port adapted for receiving an actuator, the actuator having a lumen for introducing fluid through the valve inlet port and into the valve internal chamber;*

*a valve outlet port adapted for outputting fluid from the valve internal chamber, the valve outlet port being in fluid communication with the valve internal chamber at all times and being adapted for allowing fluid to freely flow into and out of the valve internal chamber through the valve outlet port at all times;*

*an air chamber; and*

*a plug adapted for being moved into a portion of the air chamber when the actuator is moved into the valve internal chamber and for being moved out of a portion of the air chamber when the actuator is removed from*

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the valve internal chamber, movement of the actuator into the valve internal chamber resulting in a relatively small movement of fluid through the valve outlet port and into the valve internal chamber, and movement of the [plug] actuator out of the valve internal chamber resulting in a relatively small movement of fluid through the valve outlet port and out of the valve internal chamber.

29. A minimum fluid displacement connector, comprising:  
 a valve internal chamber;  
 a valve inlet port adapted for receiving an actuator, the actuator having a lumen for introducing fluid through the valve inlet port and into the valve internal chamber;  
 a valve outlet port adapted for outputting fluid from the valve internal chamber, the valve outlet port being in an unobstructed fluid communication with the valve internal chamber at all times and being adapted for allowing fluid to freely flow into and out of the valve internal chamber through the valve outlet port;  
*a valve housing having an inner surface that defines an air chamber; and*  
*a plug with a plug proximal portion and a non-collapsible plug distal portion, the non-collapsible plug distal portion having a slidable seal that engages the inner surface of the valve housing, the non-collapsible plug distal portion adapted for being moved into a portion of the air chamber when the actuator is moved into the valve inlet port, movement of the non-collapsible plug distal portion into a portion of the air chamber resulting in a minimum displacement of fluid through the valve outlet port when the actuator is moved into the valve inlet port.*  
 30. A minimum fluid displacement connector, comprising:  
 a valve internal chamber having a volume;  
 a valve inlet port adapted for receiving an actuator, the actuator having a lumen for introducing fluid through the valve inlet port and into the valve internal chamber;  
 a valve outlet port adapted for outputting fluid from the valve internal chamber, the valve outlet port being in fluid communication with substantially all of the volume of the valve internal chamber at all times and being adapted for allowing fluid to freely flow into and out of substantially all of the volume of the valve internal chamber through the valve outlet port;  
*an air chamber defined at least in part by a distal cylinder of a valve housing; and*  
*a non-slitted plug adapted for being moved into a portion of substantially all of the volume of the air chamber when the actuator is moved into the valve inlet port, movement of the plug into a portion of the air chamber resulting in a minimum displacement of fluid through the valve outlet port when the actuator is moved into the valve inlet port.*  
 31. A valve for transferring fluid with minimum fluid displacement, comprising:  
 a valve internal chamber having a volume and adapted for receiving an actuator therethrough for facilitating introduction of fluid into the valve internal chamber;  
 a biased member abutting against one of a compressible gas and an ambient atmosphere, and adapted for being moved by the actuator, movement of the biased member resulting in displacement of one of the compressible gas and the ambient atmosphere to [offset] over-compensate a displacement of fluid in the valve internal chamber that was introduced by insertion of the actuator into the valve internal chamber; and

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a valve outlet port adapted for outputting fluid from the valve internal chamber, the valve outlet port being in fluid communication with substantially all of the volume of the valve internal chamber at all times and being adapted for allowing fluid to freely flow between substantially all of the volume of the valve internal chamber and the valve outlet port.

32. A valve for transferring fluid with minimum fluid displacement, comprising:

a valve internal chamber adapted for receiving an actuator therethrough for facilitating introduction of fluid into the valve internal chamber;

a biased member abutting against one of a compressible gas and an ambient atmosphere, and adapted for being moved by the actuator, movement of the biased member resulting in displacement of one of the compressible gas and the ambient atmosphere to offset a displacement of fluid in the valve internal chamber that was introduced by insertion of the actuator into the valve internal chamber, *the biased member having a generally planar surface adapted for being abutted by the actuator, the generally planar surface being oriented in a slanted relationship with respect to an end surface of the actuator when the actuator is inserted into the valve internal chamber; and*

a valve outlet port adapted for outputting fluid from the valve internal chamber, the valve outlet port being in an unobstructed fluid communication with the valve internal chamber at all times and being adapted for allowing fluid to freely flow between the valve internal chamber and the valve outlet port at all times.

33. *The minimum fluid displacement connector as recited in claim 4, the valve outlet port having an outlet port axis that is substantially perpendicular to the inlet port axis.*

34. *The valve as recited in claim 16, the biased member comprising a biased member proximal portion and a biased member distal portion.*

35. *The valve as recited in claim 34, the biased member proximal portion comprising a reduced diameter portion, the biased member proximal portion being pivotable about the reduced diameter portion.*

36. *The valve as recited in claim 35, the biased member distal portion being non-collapsible.*

37. *The valve as recited in claim 17, the biased member proximal portion facilitating a seal of the internal chamber when the biased member proximal portion is in the first position.*

38. *The valve as recited in claim 17, the planar surface being in a perpendicular relationship with the axis when the biased member proximal portion is in the first position.*

39. *The self-flushing connector as recited in claim 27, the plug comprising a planar surface adapted for being abutted by the actuator.*

40. *The self-flushing connector as recited in claim 39, wherein:*

*the valve inlet port defines an axis; and*

*when the actuator is moved into the valve internal chamber, the planar surface is disposed in a non-perpendicular relationship with the axis.*

41. *The self-flushing connector as recited in claim 39, wherein:*

*the valve inlet port defines an inlet port axis; and*

*the valve outlet port defines an outlet port axis that is substantially perpendicular to the valve inlet port axis.*

42. *The self-flushing connector as recited in claim 28, wherein:*



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the valve inlet port defines an inlet port axis; and  
the valve outlet port defines an outlet port axis that is  
substantially perpendicular to the valve inlet port axis.

43. The minimum fluid displacement connector as recited  
in claim 29, wherein:

the valve inlet port defines an inlet port axis; and  
the valve outlet port defines an outlet port axis that is  
substantially perpendicular to the valve inlet port axis.

44. The minimum fluid displacement connector as recited  
in claim 29, the valve outlet port being substantially parallel  
to the valve inlet port.

45. The minimum fluid displacement connector as recited  
in claim 30, wherein:

the valve inlet port defines an inlet port axis; and  
the valve outlet port defines an outlet port axis that is  
substantially perpendicular to the valve inlet port axis.

46. The minimum fluid displacement connector as recited  
in claim 30, the plug comprising a distal sealing member  
that slidably seals the air chamber from the valve internal  
chamber.

47. The valve as recited in claim 31, the biased member  
comprising a biased member distal portion that translates  
into one of the compressible gas and the ambient atmosphere  
when the actuator is inserted into the valve internal cham-  
ber.

48. A minimum fluid displacement connector, comprising:  
a valve internal chamber having a proximal chamber end  
and a distal chamber end;

a valve inlet port adapted for receiving an actuator, the  
actuator having a lumen for introducing fluid through  
the valve inlet port and into the valve internal chamber,  
the valve inlet port defining an axis;

a valve outlet port adapted for outputting fluid from the  
valve internal chamber, the valve outlet port being in  
fluid communication with the distal chamber end of the  
valve internal chamber at all times and being adapted  
for allowing fluid to freely flow into and out of the distal  
chamber end of the valve internal chamber through the  
valve outlet port;

an air chamber; and

a plug having a plug proximal portion and a non-  
collapsible plug distal portion, the plug proximal por-  
tion being adapted for movement between a first  
position, when the actuator is not inserted in the inlet  
port, and a second position, when the actuator is  
inserted into the inlet port, the non-collapsible plug  
distal portion being adapted for being moved into a  
portion of the air chamber when the actuator is moved  
into the valve inlet port,

wherein the plug proximal portion comprises a generally  
planar surface adapted for being abutted by the  
actuator, the generally planar surface being disposed  
in a non-perpendicular relationship with the axis when  
the plug proximal portion is in the second position, and  
wherein movement of the non-collapsible plug distal  
portion into a portion of the air chamber results in a  
minimum displacement of fluid through the valve outlet  
port when the actuator is moved into the valve inlet  
port.

49. The connector as recited in claim 48, the plug distal  
portion comprising an annular sealing member.

50. The connector as recited in claim 48, the outlet port  
being substantially perpendicular to the inlet port.

51. The connector as recited in claim 48, the outlet port  
being substantially parallel to the inlet port.

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52. A minimum fluid displacement connector, comprising:  
a valve internal chamber having a proximal chamber end  
and a distal chamber end;

a valve inlet port adapted for receiving an actuator, the  
actuator having a lumen for introducing fluid through  
the valve inlet port and into the valve internal chamber;

a valve outlet port adapted for outputting fluid from the  
valve internal chamber, the valve outlet port being in  
fluid communication with the distal chamber end of the  
valve internal chamber at all times and being adapted  
for allowing fluid to freely flow into and out of the distal  
chamber end of the valve internal chamber through the  
valve outlet port;

an air chamber; and

a plug adapted for being moved into a portion of the air  
chamber when the actuator is moved into the valve inlet  
port, movement of the plug into a portion of the air  
chamber resulting in a minimum displacement of fluid  
through the valve outlet port when the actuator is  
moved into the valve inlet port;

the plug comprising a side surface that is exposed to fluid  
introduced into the valve internal chamber by the  
actuator and a non-collapsible distal portion compris-  
ing a surface that is not exposed to fluid introduced into  
the valve internal chamber by the actuator but is  
exposed to the air chamber.

53. A self-flushing connector, comprising:

a valve internal chamber;

a valve inlet port adapted for receiving an actuator, the  
actuator having a lumen for introducing fluid through  
the valve inlet port and into the valve internal chamber;

a valve outlet port adapted for outputting fluid from the  
valve internal chamber, the valve outlet port being in  
fluid communication with the valve internal chamber at  
all times and being adapted for allowing fluid to freely  
flow into and out of the valve internal chamber through  
the valve outlet port at all times;

an air chamber; and

a plug adapted for being moved into a portion of the air  
chamber when the actuator is moved into the valve  
internal chamber and for being moved out of a portion  
of the air chamber when the actuator is removed from  
the valve internal chamber, movement of the actuator  
into the valve internal chamber resulting in a relatively  
small movement of fluid through the valve outlet port  
and into the valve internal chamber, and movement of  
the plug out of the valve internal chamber resulting in  
a relatively small movement of fluid through the valve  
outlet port and out of the valve internal chamber;

the plug comprising a side surface that is exposed to fluid  
introduced into the valve internal chamber by the  
actuator and a non-collapsible distal portion compris-  
ing a surface that is not exposed to fluid introduced into  
the valve internal chamber by the actuator but is  
exposed to the air chamber.

54. The self-flushing connector as recited in claim 28  
wherein the displacing means includes a non-slitted plug.

55. The self-flushing connector as recited in claim 28  
wherein the displacing means includes a plug separating an  
air chamber from a valve internal chamber in fluid commu-  
nication with the valve outlet port.

56. The self-flushing connector as recited in claim 55  
wherein the plug includes a collapsible skirt defining the air  
chamber within the collapsible skirt.

57. The self-flushing connector as recited in claim 56  
further comprising a spring, the plug adapted for being

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pushed by the spring from an inlet-port-open position back to an inlet-port-closed position.

58. The self-flushing connector as recited in claim 56 wherein the air chamber generally surrounds a plug hollow passage forming a part of the valve internal passage.

59. The self-flushing connector as recited in claim 28 wherein the displacing means includes an air chamber and a plug adapted for being moved into a portion of the air chamber when the actuator is moved into the valve inlet port.

60. The self-flushing connector as recited in claim 28 wherein the self-flushing connector includes a valve internal chamber in fluid connection with the valve inlet port and the displacing means includes a plug having a collapsible skirt defining an air chamber, the plug being moved into a portion of the air chamber when the actuator is moved into the valve inlet port.

61. The self-flushing connector as recited in claim 27, a displacement of air within the air chamber, resulting from movement of the plug into a portion of the air chamber, being approximately equal to or greater than a displacement of fluid within the valve internal chamber, resulting from movement of the actuator into the valve internal chamber.

62. The self-flushing connector as recited in claim 27, the valve inlet port having an inlet port axis, the air chamber being centered about the inlet port axis, the plug having a generally cylindrical shape which is also generally centered about the inlet port axis, and the plug being adapted for being moved from an inlet-port-closed position to an inlet-port-open position.

63. The self-flushing connector as recited in claim 62, further comprising a spring, the plug being adapted for being pushed by the spring from the inlet-port-open position back to the inlet-port-closed position.

64. The self-flushing connector as recited in claim 27, the plug comprising a primary shoulder seal adapted for contacting a valve seat of the self-flushing connector when the plug is in the inlet-port-closed position, the primary shoulder seal being removed from contact with the valve seat when the plug is moved from an inlet-port-closed position to an inlet-port-open position.

65. The self-flushing connector as recited in claim 27, the plug including a hollow, collapsible skirt which surrounds and defines the air chamber, movement of the actuator into the internal chamber collapsing the collapsible skirt.

66. The self-flushing connector as recited in claim 27, the plug including a hollow passage connecting the internal chamber to the outlet port, the hollow passage being surrounded by the air chamber and expanding into a portion of the air chamber when the actuator is moved into the internal chamber, the hollow passage further contracting and moving out of a portion of the air chamber when the actuator is removed from the internal chamber.

67. The self-flushing connector as recited in claim 27, wherein the plug has a proximal portion adapted for being pushed by the actuator from the inlet-port-closed position into the inlet-port-open position and the plug further has an enlarged diameter portion located in the valve internal chamber when the plug is in the inlet-port-closed position.

68. A self-flushing connector, comprising:

a valve internal chamber having a proximal chamber end and a distal chamber end;

a valve inlet port adapted for receiving an actuator, the actuator having a lumen for introducing fluid through the valve inlet port and into the valve internal chamber;

a valve outlet port adapted for outputting fluid from the valve internal chamber, the valve outlet port being in fluid communication with the distal chamber end of the valve internal chamber at all times and being adapted for allowing fluid to freely flow into and out of the distal

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chamber end of the valve internal chamber through the valve outlet port;

an air chamber; and

a plug adapted for being moved into a portion of the air chamber when the actuator is moved into the valve inlet port, movement of the plug into a portion of the air chamber resulting in a minimum displacement of fluid through the valve outlet port into the self-flushing connector when the actuator is moved into the valve inlet port and a minimum displacement of fluid through the valve outlet port of the self-flushing connector when an actuator is moved out of the valve inlet port.

69. The self-flushing connector as recited in claim 68, a displacement of air within the air chamber, resulting from movement of the plug into a portion of the air chamber, being approximately equal to a displacement of fluid within the valve internal chamber, resulting from movement of the actuator into the valve inlet port.

70. The self-flushing connector as recited in claim 68, the valve inlet port having an inlet port axis, the air chamber being centered about the inlet port axis, the plug having a generally cylindrical shape which is also generally centered about the inlet port axis, and the plug being adapted for being moved from an inlet-port-closed position to an inlet-port-open position.

71. The self-flushing connector as recited in claim 70, further comprising a spring, the plug being adapted for being pushed by the spring from the inlet-port-open position back to the inlet-port-closed position.

72. The self-flushing connector as recited in claim 68, the plug comprising a primary shoulder seal adapted for contacting a valve seat of the self-flushing connector when the plug is in the inlet-port-closed position, the primary shoulder seal being removed from contact with the valve seat when the plug is moved from an inlet-port-closed position to an inlet-port-open position.

73. The self-flushing connector as recited in claim 68, the plug including a hollow, collapsible skirt which surrounds and defines the air chamber, movement of the actuator into the internal chamber collapsing the collapsible skirt.

74. The self-flushing connector as recited in claim 68, the plug including a hollow passage connecting the internal chamber to the valve outlet port, the hollow passage being surrounded by the air chamber and expanding into a portion of the air chamber when the actuator is inserted into the valve inlet port, the hollow passage further contracting and moving out of a portion of the air chamber when the actuator is removed from valve inlet port.

75. The self-flushing connector as recited in claim 68, wherein the plug has a proximal portion adapted for being pushed by the actuator from the inlet-port-closed position into the inlet-port-open position and the plug further has an enlarged diameter portion located in the valve internal chamber when the plug is in the inlet-port-closed position.

76. The self-flushing connector as recited in claim 70, the plug comprising a primary shoulder seal adapted for contacting a valve seat of the self-flushing connector when the plug is in the inlet-port-closed position, the primary shoulder seal being removed from contact with the valve seat when the plug is moved from an inlet-port-closed position to an inlet-port-open position.

77. The self-flushing connector as recited in claim 76, wherein the plug has a proximal portion adapted for being pushed by the actuator from the inlet-port-closed position into the inlet-port-open position and the plug further has an enlarged diameter portion located in the valve internal chamber when the plug is in the inlet-port-closed position.

\* \* \* \* \*

**UNITED STATES DISTRICT COURT  
CENTRAL DISTRICT OF CALIFORNIA**

**NOTICE OF ASSIGNMENT TO UNITED STATES MAGISTRATE JUDGE FOR DISCOVERY**

This case has been assigned to District Judge Cormac J. Carney and the assigned discovery Magistrate Judge is Robert N. Block.

The case number on all documents filed with the Court should read as follows:

**SACV11- 1264 CJC (RNBx)**

Pursuant to General Order 05-07 of the United States District Court for the Central District of California, the Magistrate Judge has been designated to hear discovery related motions.

All discovery related motions should be noticed on the calendar of the Magistrate Judge

=====

**NOTICE TO COUNSEL**

*A copy of this notice must be served with the summons and complaint on all defendants (if a removal action is filed, a copy of this notice must be served on all plaintiffs).*

Subsequent documents must be filed at the following location:

☐ **Western Division**  
312 N. Spring St., Rm. G-8  
Los Angeles, CA 90012

☒ **Southern Division**  
411 West Fourth St., Rm. 1-053  
Santa Ana, CA 92701-4516

☐ **Eastern Division**  
3470 Twelfth St., Rm. 134  
Riverside, CA 92501

Failure to file at the proper location will result in your documents being returned to you.



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Phone: 858.847.6700, FAX 858.792.6773

**UNITED STATES DISTRICT COURT  
CENTRAL DISTRICT OF CALIFORNIA**

CareFusion 303, Inc.,

PLAINTIFF(S)

v.

B. Braun Medical, Inc.,

DEFENDANT(S).

CASE NUMBER

**FAXED****SACV11-01264CJC(RNB)****SUMMONS**TO: DEFENDANT(S): B. Braun Medical, Inc.

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it), you must serve on the plaintiff an answer to the attached ☒ complaint ☐ \_\_\_\_\_ amended complaint ☐ counterclaim ☐ cross-claim or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff's attorney, Foley & Lardner LLP, whose address is 3579 Valley Centre Dr., Suite 300, San Diego, CA 92130-3302. If you fail to do so, judgment by default will be entered against you for the relief demanded in the complaint. You also must file your answer or motion with the court.

Clerk, U.S. District Court

Dated: August 23, 2011By: 

Deputy Clerk

(Seal of the Court)

[Use 60 days if the defendant is the United States or a United States agency, or is an officer or employee of the United States. Allowed 60 days by Rule 12(a)(3)].

**FAXED**

**UNITED STATES DISTRICT COURT, CENTRAL DISTRICT OF CALIFORNIA**  
**CIVIL COVER SHEET**

**I (a) PLAINTIFFS** (Check box if you are representing yourself ☐)  
 CareFusion 303, Inc.

**DEFENDANTS**  
 B. Braun Medical, Inc.

**(b) Attorneys** (Firm Name, Address and Telephone Number. If you are representing yourself, provide same.)

Amar L. Thakur, CA Bar No. 194025 (see attachment)  
 FOLEY & LARDNER LLP, Attorneys at Law  
 3579 VALLEY CENTRE DR., SUITE 300  
 SAN DIEGO, CA 92130-3302  
 Phone: 858.847.6700, FAX 858.792.6773

Attorneys (If Known)

**II. BASIS OF JURISDICTION** (Place an X in one box only.)

- ☐ 1 U.S. Government Plaintiff ☒ 3 Federal Question (U.S. Government Not a Party)  
☐ 2 U.S. Government Defendant ☐ 4 Diversity (Indicate Citizenship of Parties in Item III)

**III. CITIZENSHIP OF PRINCIPAL PARTIES - For Diversity Cases Only**  
 (Place an X in one box for plaintiff and one for defendant.)

	PTF	DEF		PTF	DEF
Citizen of This State	<input type="checkbox"/> 1	<input type="checkbox"/> 1	Incorporated or Principal Place of Business in this State	<input type="checkbox"/> 4	<input type="checkbox"/> 4
Citizen of Another State	<input type="checkbox"/> 2	<input type="checkbox"/> 2	Incorporated and Principal Place of Business in Another State	<input type="checkbox"/> 5	<input type="checkbox"/> 5
Citizen or Subject of a Foreign Country	<input type="checkbox"/> 3	<input type="checkbox"/> 3	Foreign Nation	<input type="checkbox"/> 6	<input type="checkbox"/> 6

**IV. ORIGIN** (Place an X in one box only.)

- ☒ 1 Original Proceeding ☐ 2 Removed from State Court ☐ 3 Remanded from Appellate Court ☐ 4 Reinstated or Reopened ☐ 5 Transferred from another district (specify): ☐ 6 Multi-District Litigation ☐ 7 Appeal to District Judge from Magistrate Judge

**V. REQUESTED IN COMPLAINT: JURY DEMAND:** ☒ Yes ☐ No (Check 'Yes' only if demanded in complaint.)

**CLASS ACTION under F.R.C.P. 23:** ☐ Yes ☒ No

☐ **MONEY DEMANDED IN COMPLAINT: \$** \_\_\_\_\_

**VI. CAUSE OF ACTION** (Cite the U. S. Civil Statute under which you are filing and write a brief statement of cause. Do not cite jurisdictional statutes unless diversity.)

**VII. NATURE OF SUIT** (Place an X in one box only.)

OTHER STATUTES	CONTRACT	TORTS PERSONAL INJURY	TORTS PERSONAL PROPERTY	PRISONER PETITIONS	LABOR
<input type="checkbox"/> 400 State Reapportionment	<input type="checkbox"/> 110 Insurance	<input type="checkbox"/> 310 Airplane	<input type="checkbox"/> 370 Other Fraud	<input type="checkbox"/> 510 Motions to Vacate Sentence Habeas Corpus	<input type="checkbox"/> 710 Fair Labor Standards Act
<input type="checkbox"/> 410 Antitrust	<input type="checkbox"/> 120 Marine	<input type="checkbox"/> 315 Airplane Product Liability	<input type="checkbox"/> 371 Truth in Lending	<input type="checkbox"/> 530 General	<input type="checkbox"/> 720 Labor/Mgmt. Relations
<input type="checkbox"/> 430 Banks and Banking	<input type="checkbox"/> 130 Miller Act	<input type="checkbox"/> 320 Assault, Libel & Slander	<input type="checkbox"/> 380 Other Personal Property Damage	<input type="checkbox"/> 535 Death Penalty	<input type="checkbox"/> 730 Labor/Mgmt. Reporting & Disclosure Act
<input type="checkbox"/> 450 Commerce/ICC Rates/etc.	<input type="checkbox"/> 140 Negotiable Instrument	<input type="checkbox"/> 330 Fed. Employers' Liability	<input type="checkbox"/> 385 Property Damage Product Liability	<input type="checkbox"/> 540 Mandamus/Other	<input type="checkbox"/> 740 Railway Labor Act
<input type="checkbox"/> 460 Deportation	<input type="checkbox"/> 150 Recovery of Overpayment & Enforcement of Judgment	<input type="checkbox"/> 340 Marine	<b>BANKRUPTCY</b>	<input type="checkbox"/> 550 Civil Rights	<input type="checkbox"/> 790 Other Labor Litigation
<input type="checkbox"/> 470 Racketeer Influenced and Corrupt Organizations	<input type="checkbox"/> 151 Medicare Act	<input type="checkbox"/> 345 Marine Product Liability	<input type="checkbox"/> 22 Appeal 28 USC 158	<input type="checkbox"/> 555 Prison Condition	<input type="checkbox"/> 791 Empl. Ret. Inc. Security Act
<input type="checkbox"/> 480 Consumer Credit	<input type="checkbox"/> 152 Recovery of Defaulted Student Loan (Excl. Veterans)	<input type="checkbox"/> 350 Motor Vehicle	<input type="checkbox"/> 423 Withdrawal 28 USC 157	<b>FORFEITURE / PENALTY</b>	<b>PROPERTY RIGHTS</b>
<input type="checkbox"/> 490 Cable/Sat TV	<input type="checkbox"/> 153 Recovery of Overpayment of Veteran's Benefits	<input type="checkbox"/> 355 Motor Vehicle Product Liability	<b>CIVIL RIGHTS</b>	<input type="checkbox"/> 610 Agriculture	<input type="checkbox"/> 820 Copyrights
<input type="checkbox"/> 810 Selective Service	<input type="checkbox"/> 160 Stockholders' Suits	<input type="checkbox"/> 360 Other Personal Injury	<input type="checkbox"/> 441 Voting	<input type="checkbox"/> 620 Other Food & Drug	<input checked="" type="checkbox"/> 830 Patent
<input type="checkbox"/> 850 Securities/Commodities/Exchange	<input type="checkbox"/> 190 Other Contract	<input type="checkbox"/> 362 Personal Injury-Med Malpractice	<input type="checkbox"/> 442 Employment	<input type="checkbox"/> 625 Drug Related Seizure of Property 21 USC 881	<input type="checkbox"/> 840 Trademark
<input type="checkbox"/> 875 Customer Challenge 12 USC 3410	<input type="checkbox"/> 195 Contract Product Liability	<input type="checkbox"/> 365 Personal Injury-Product Liability	<input type="checkbox"/> 443 Housing/Accommodations	<input type="checkbox"/> 630 Liquor Laws	<b>SOCIAL SECURITY</b>
<input type="checkbox"/> 890 Other Statutory Actions	<input type="checkbox"/> 196 Franchise	<input type="checkbox"/> 368 Asbestos Personal Injury Product Liability	<input type="checkbox"/> 444 Welfare	<input type="checkbox"/> 640 R.R. & Truck	<input type="checkbox"/> 61 HIA(1395ff)
<input type="checkbox"/> 891 Agricultural Act	<b>REAL PROPERTY</b>	<b>IMMIGRATION</b>	<input type="checkbox"/> 445 American with Disabilities - Employment	<input type="checkbox"/> 650 Airline Regs	<input type="checkbox"/> 862 Black Lung (923)
<input type="checkbox"/> 892 Economic Stabilization Act	<input type="checkbox"/> 210 Land Condemnation	<input type="checkbox"/> 462 Naturalization Application	<input type="checkbox"/> 446 American with Disabilities - Other	<input type="checkbox"/> 660 Occupational Safety/Health	<input type="checkbox"/> 863 DIWC/DIWW 405(g))
<input type="checkbox"/> 893 Environmental Matters	<input type="checkbox"/> 220 Foreclosure	<input type="checkbox"/> 463 Habeas Corpus-Alien Detainee	<input type="checkbox"/> 440 Other Civil Rights	<input type="checkbox"/> 690 Other	<input type="checkbox"/> 864 SSID Title XVI
<input type="checkbox"/> 894 Energy Allocation Act	<input type="checkbox"/> 230 Rent Lease & Ejectment	<input type="checkbox"/> 465 Other Immigration Actions			<input type="checkbox"/> 865 RSI (405(g))
<input type="checkbox"/> 895 Freedom of Info. Act	<input type="checkbox"/> 240 Torts to Land				<b>FEDERAL TAX SUITS</b>
<input type="checkbox"/> 900 Appeal of Fee Determination Under Equal Access to Justice	<input type="checkbox"/> 245 Tort Product Liability				<input type="checkbox"/> 870 Taxes (U.S. Plaintiff or Defendant)
<input type="checkbox"/> 950 Constitutionality of State Statutes	<input type="checkbox"/> 290 All Other Real Property				<input type="checkbox"/> 871 IRS-Third Party 26 USC 7609

**FOR OFFICE USE ONLY:** Case Number: \_\_\_\_\_

**AFTER COMPLETING THE FRONT SIDE OF FORM CV-71, COMPLETE THE INFORMATION REQUESTED BELOW.**

UNITED STATES DISTRICT COURT, CENTRAL DISTRICT OF CALIFORNIA  
CIVIL COVER SHEET**VIII(a). IDENTICAL CASES:** Has this action been previously filed in this court and dismissed, remanded or closed? ☒ No ☐ Yes

If yes, list case number(s): \_\_\_\_\_

**VIII(b). RELATED CASES:** Have any cases been previously filed in this court that are related to the present case? ☐ No ☒ YesIf yes, list case number(s): 8-06-cv-00619 (CACD Southern Division - Santa Ana)**Civil cases are deemed related if a previously filed case and the present case:**

- (Check all boxes that apply)
- ☐
- A. Arise from the same or closely related transactions, happenings, or events; or
- 
- ☐
- B. Call for determination of the same or substantially related or similar questions of law and fact; or
- 
- ☒
- C. For other reasons would entail substantial duplication of labor if heard by different judges; or
- 
- ☒
- D. Involve the same patent, trademark or copyright,
- and
- one of the factors identified above in a, b or c also is present.

**IX. VENUE:** (When completing the following information, use an additional sheet if necessary.)(a) List the County in this District; California County outside of this District; State if other than California; or Foreign Country, in which **EACH** named plaintiff resides.☐ Check here if the government, its agencies or employees is a named plaintiff. If this box is checked, go to item (b).

County in this District:*	California County outside of this District; State, if other than California; or Foreign Country
	San Diego County

(b) List the County in this District; California County outside of this District; State if other than California; or Foreign Country, in which **EACH** named defendant resides.☐ Check here if the government, its agencies or employees is a named defendant. If this box is checked, go to item (c).

County in this District:*	California County outside of this District; State, if other than California; or Foreign Country
	Pennsylvania

(c) List the County in this District; California County outside of this District; State if other than California; or Foreign Country, in which **EACH** claim arose.**Note: In land condemnation cases, use the location of the tract of land involved.**

County in this District:*	California County outside of this District; State, if other than California; or Foreign Country
Orange County	

**\* Los Angeles, Orange, San Bernardino, Riverside, Ventura, Santa Barbara, or San Luis Obispo Counties****Note:** In land condemnation cases, use the location of the tract of land involvedX. SIGNATURE OF ATTORNEY (OR PRO PER): Amar L. Thakur Date August 23, 2011

Amar L. Thakur, Foley &amp; Lardner LLP

**Notice to Counsel/Parties:** The CV-71 (JS-44) Civil Cover Sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law. This form, approved by the Judicial Conference of the United States in September 1974, is required pursuant to Local Rule 3 -1 is not filed but is used by the Clerk of the Court for the purpose of statistics, venue and initiating the civil docket sheet. (For more detailed instructions, see separate instructions sheet.)**Key to Statistical codes relating to Social Security Cases:**

Nature of Suit Code	Abbreviation	Substantive Statement of Cause of Action
861	HIA	All claims for health insurance benefits (Medicare) under Title 18, Part A, of the Social Security Act, as amended. Also, include claims by hospitals, skilled nursing facilities, etc., for certification as providers of services under the program. (42 U.S.C. 1935FF(b))
862	BL	All claims for "Black Lung" benefits under Title 4, Part B, of the Federal Coal Mine Health and Safety Act of 1969. (30 U.S.C. 923)
863	DIWC	All claims filed by insured workers for disability insurance benefits under Title 2 of the Social Security Act, as amended; plus all claims filed for child's insurance benefits based on disability. (42 U.S.C. 405(g))
863	DIWW	All claims filed for widows or widowers insurance benefits based on disability under Title 2 of the Social Security Act, as amended. (42 U.S.C. 405(g))
864	SSID	All claims for supplemental security income payments based upon disability filed under Title 16 of the Social Security Act, as amended.
865	RSI	All claims for retirement (old age) and survivors benefits under Title 2 of the Social Security Act, as amended. (42 U.S.C. (g))

**ATTACHMENT TO CIVIL COVER SHEET**

**CareFusion 303, Inc. v. B. Braun Medical, Inc.**

**United States District Court for the Central District of California**

**FOLEY & LARDNER LLP**

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